ASSESSMENT FRAMEWORK FOR STATE LEVEL REFORMS ENABLING EASE OF DOING **BUSINESS (2015)** June 2015 DEPARTMENT OF INDUSTRIAL POLICY AND PROMOTION

| Assessment Framework for State Level Reforms enabling Ease of Doing Business (2015) |
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| Department of Industrial Policy and Promotion 1 |

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| | Disclaimer |
| assess releva States | ssessment Framework has been prepared as part of an annual exercise undertaken by DIPP to States on enabling Ease of Doing Business. DIPP has endeavoured to include most of the nt aspects in the current year of assessment. A draft of this framework was circulated to all the for obtaining feedback and suggestions. DIPP has attempted to update and incorporate the ack received based on its applicability to the study. |
| | hall consider additional reform measures for next year's framework to make it easier to do ess. DIPP shall also consider the feedback received from States and other key stakeholders for me. |
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2. Background

2.1 **Need for reform**

India is currently ranked 142 among 189 nations in World Bank's Ease of Doing Business 2015 study. With the exception of two parameters (Getting credit and Protecting minority investors), India does not feature in the top 100 in the remaining parameters. In 'Dealing with construction permits' and 'Enforcing contracts' parameters, India ranks in the bottom 10 economies as per the ranking.

| Economy | Ease of Doing Business Rank (2015) |
|-----------------------|---------------------------------------|
| Singapore | 1 |
| New Zealand | 2 |
| Hong Kong, SAR, China | 3 |
| South Africa | 43 |
| Russia | 62 |
| China | 90 |
| Sri Lanka | 99 |
| Brazil | 120 |
| Pakistan | 128 |
| India | 142 |
| Uganda | 150 |

| Торіс | India Ranking |
|-----------------------------------|------------------|
| Starting a business | 158 |
| Dealing with construction permits | 184 |
| Getting electricity | 137 |
| Registering property | 121 |
| Getting credit | 36 |
| Protecting minority investors | 7 |
| Paying taxes | 156 |
| Trading across borders | 126 |
| Enforcing contracts | 186 |
| Resolving insolvency | 137 |

India's overall ranking and the individual rankings in various parameters clearly show that India is in urgent need of reforms to unlock the huge economic potential of the nation. However, the reforms need to be initiated at various levels across Centre, State and local governments. A coming together of bold and necessary reforms in various areas will herald an era of high value investments, infrastructure growth, job creation, skill development and economic prosperity.

2.2 State level assessment

With India being a federally structured nation, the States have a vital role to play in promoting investor confidence. The ease or difficulty of doing business in a State is a function of the structures/ framework put in place by the State along with the implementation of the structure. Institutionalisation of reforms through the structures will ensure manifestation of outcomes. In order to enhance the ease of doing business in various States in India, the Department of Industrial Policy and Promotion (DIPP), has initiated a study to assess States on reform parameters that are germane to ease of doing business. DIPP has also circulated a document with recommendations for States on aspects critical to enabling ease of doing business. The document highlighted good practices in various areas that need to be institutionalised for States to improve their investment eco-system.

A study is now being carried out to assess the implementation of the recommendations by the States.

3. Objectives

The key objectives of the assessment include:

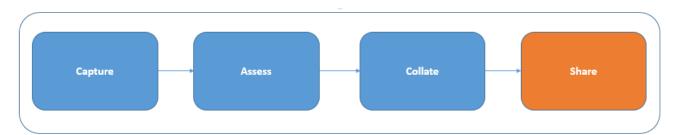
- To assess the implementation of various factors enabling ease of doing business in a State
- To do a comparative study of various States with regards to the implementation status

4. Assessment framework

Key principles for developing the assessment framework include:

- Measurability: The factors enabling ease of doing business should be defined in a manner that enables 'measurement' of the implementation status.
- Comparability across States: Factors identified for enabling ease of doing business should be comparable across States. In case a particular factor is not relevant for implementation in a State, the same would not be considered for assessment of implementation status in the State.

The diagram below highlights the steps involved as part of the assessment framework:



For the purpose of the assessment framework, the following areas are being considered (Refer **Annexure-A** for details regarding area-wise number of questions):

| S. No | Area |
|-------|---|
| 1 | Setting up a business |
| 2 | Allotment of land and obtaining construction permit |
| 3 | Complying with environment procedures |
| 4 | Complying with labour regulations |
| 5 | Obtaining infrastructure related utilities |
| 6 | Registering and complying with tax procedures |
| 7 | Carrying out inspections |
| 8 | Enforcing contracts |

4.1 Step 1: Capture

This would involve obtaining responses from the State on the implementation status of various factors enabling ease of doing business in a particular area. Refer attached Annexure - B for the detailed questionnaire to be used for capturing the responses.

The questionnaire will have "Yes", "No" and in certain cases "Not Applicable" type of responses. 'Yes' response will imply that the respective enabling factor has been implemented in the State. A 'No' response would imply that the enabling factor is yet to be implemented in the State. A 'Not Applicable' response would imply that the enabling factor is not relevant for implementation in the State.

DIPP shall also obtain inputs (through a separate questionnaire) from industry with regards to factors enabling ease of doing business in the State.

4.2 Step 2: Assess

This step deals with the evaluation of implementation status for the area. The implementation status (which would reflect the percentage of factors enabling ease of doing business, implemented in the State) for an area shall be computed as:

(Number of questions in the area for which the response is "Yes") / [(Total number of questions in the area) - (Number of questions in the area for which the response is "Not applicable")] * 100%

4.3 Step 3: Collate

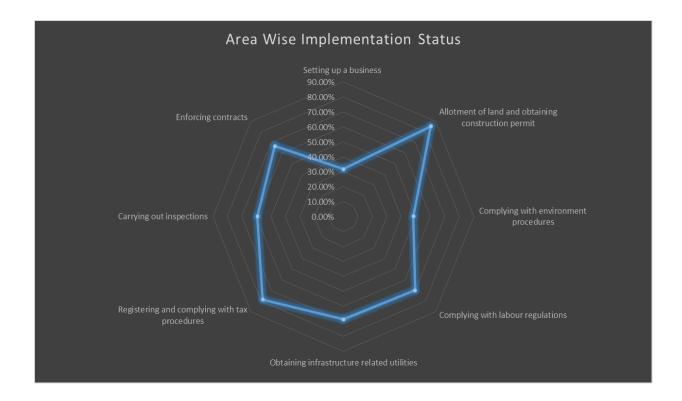
This step involves collation of overall implementation status for the State. The implementation status (which would reflect the percentage of factors enabling ease of doing business, implemented in the State) for the State shall be computed as:

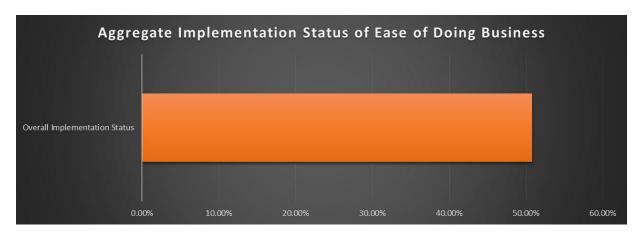
(Number of questions across all areas for which the response is "Yes") / [(Total number of questions across all areas) - (Number of questions across all areas for which the response is "Not applicable")] * 100%

Illustrative implementation status across various areas for the State

| S. No | Area | Implementation Status | | |
|-------|--|-----------------------|--|--|
| 1 | Setting up a business 31.68% | | | |
| 2 | Allotment of land and obtaining construction permit 85.45% | | | |
| 3 | Complying with environment procedures 48.21% | | | |
| 4 | Complying with labour regulations 69.84% | | | |
| 5 | Obtaining infrastructure related utilities 68.75% | | | |
| 6 | Registering and complying with tax procedures 78.57% | | | |
| 7 | Carrying out inspections 59.31% | | | |
| 8 | Enforcing contracts 66.67% | | | |

Illustrative implementation status across various areas for the State





4.4 Step 4: Share

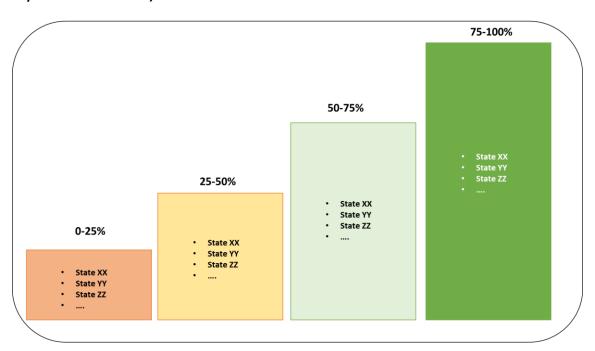
This would involve sharing of results based on comparison of implementation status across States.

- Overall: Comparison of the overall implementation status across States
- For various areas: Comparison of implementation status across States, specific to each area

Illustrative comparison of overall implementation status among States

| Name of State | Overall Implementation Status |
|---------------|-------------------------------|
| State 1 | 96.50% |
| State 2 | 94.24% |
| State 3 | 93.84% |
| State 4 | 87.66% |
| State 5 | 87.38% |
| State 6 | 86.99% |
| State 7 | 84.65% |
| State 8 | 76.34% |
| | 22.93% |
| | 22.11% |
| | 15.94% |
| | 14.00% |
| State n | 12.00% |

Illustrative comparison of overall implementation status across various States (grouped by overall implementation status)



Assigning importance to factors enabling ease of doing business

In a study of this kind, the relative importance assigned to various aspects of the study assume significance. While certain areas in the assessment framework may have greater number of questions relative to other areas, it is understood that from a reform stand point, every factor enabling ease of doing business is important. Hence every factor enabling ease of doing business is treated with equal weightage as part of the assessment framework. The final result is an indication of the implementation status of various factors enabling ease of doing business in totality, and not a reflection of any one area over another, in relative or absolute terms.

| Assessment Framework for State Level Reforms enabling Ease of Doing Business (2015) |
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| Department of Industrial Policy and Promotion 9 |

Annexure - A: Area-wise no. of questions / Area-wise computation of implementation status

| S. No. | Area | Sub-Area | Number of Questions | No. of questions for which the response is 'Yes' | No. of questions for which the response is 'No' | No. of questions for which the response is 'Not Applicable' | Implementation Status |
|--------|---|---|------------------------|--|---|--|---|
| Area-1 | Setting up a business | Part - 1a: Setting up a business – General | N _{Total} = 9 | N _{Yes} | N _{No} | N _{NA} | N _{Yes} /(N _{Total} - N _{NA}) *100% |
| | | Part - 1b: Setting up a Business – Single window system | N _{Total} =19 | N _{Yes} | N _{No} | N _{NA} | N _{Yes} /(N _{Total} - N _{NA}) *100% |
| Area-2 | Allotment of land and obtaining construction permit | Part - 2a: Allotment of land and obtaining construction permit- General | N _{Total} =25 | N _{Yes} | N _{No} | N _{NA} | N _{Yes} /(N _{Total} - N _{NA}) *100% |
| | | Part – 2b: Allotment of land and obtaining construction permit– NOCs/ licences/ registrations | N _{Total} =12 | N _{Yes} | N _{No} | N _{NA} | N _{Yes} /(N _{Total} - N _{NA}) *100% |
| Area-3 | Complying with environment procedures | Part - 3a: Complying with environment procedures – General | N _{Total} =9 | N _{Yes} | N _{No} | N _{NA} | N _{Yes} /(N _{Total} - N _{NA}) *100% |
| | · | Part – 3b: Complying with environment procedures – NOCs/ licences/ registrations | N _{Total} =24 | N _{Yes} | N _{No} | N _{NA} | N _{Yes} /(N _{Total} - N _{NA}) *100% |
| Area-4 | Complying with labour regulations | Part - 4a: Complying with labour regulations – General | N _{Total} =7 | N _{Yes} | N _{No} | N _{NA} | N _{Yes} /(N _{Total} - N _{NA}) *100% |
| | | Part - 4b: Complying with labour regulations – Application for NOCs/ licences/ registrations | N _{Total} =28 | N _{Yes} | N _{No} | N _{NA} | N _{Yes} /(N _{Total} - N _{NA}) *100% |
| | | Part – 4c: Complying with labour regulations - | N _{Total} =16 | N _{Yes} | N _{No} | N _{NA} | N _{Yes} /(N _{Total} - N _{NA}) *100% |

| S. No. | Area | Sub-Area | Number of Questions | No. of questions for which the response is 'Yes' | No. of questions for which the response is 'No' | No. of questions for which the response is 'Not Applicable' | Implementation Status |
|----------|--|--|------------------------|--|---|--|---|
| | | Application for renewal of approvals | | | | | |
| Area-5 | Obtaining infrastructure related utilities | Part – 5 a: Obtaining Infrastructure related utilities – General | N _{Total} =9 | N _{Yes} | N _{No} | N _{NA} | N _{Yes} /(N _{Total} - N _{NA}) *100% |
| | | Part – 5 b: Obtaining Infrastructure related utilities – NOCs/ licences/ registrations | N _{Total} =12 | N _{Yes} | N _{No} | N _{NA} | N _{Yes} /(N _{Total} - N _{NA}) *100% |
| Area-6 | Tax procedures | Part – 6 a: Tax procedures – General | N _{Total} =20 | N _{Yes} | N _{No} | N _{NA} | N _{Yes} /(N _{Total} - N _{NA}) *100% |
| | | Part – 6 b: Tax procedures – NOCs/ licences/ registrations | N _{Total} =24 | N _{Yes} | N _{No} | N _{NA} | N _{Yes} /(N _{Total} - N _{NA}) *100% |
| Area-7 | Carrying out inspections | Part 7a: Carrying out Inspections- General | N _{Total} =2 | N _{Yes} | N _{No} | N _{NA} | N _{Yes} /(N _{Total} - N _{NA}) *100% |
| | | Part 7b: Carrying out Inspections - Procedures | N _{Total} =60 | N _{Yes} | N _{No} | N _{NA} | N _{Yes} /(N _{Total} - N _{NA}) *100% |
| Area-8 | Enforcing Contracts | | N _{Total} =9 | N _{Yes} | N _{No} | N _{NA} | N _{Yes} /(N _{Total} - N _{NA}) *100% |
| Total Nu | mber of Questions | 285 | | | | | |

7. Annexure - B: Detailed Questionnaire

Instructions for filling up the questionnaire

| | This questionnaire is issued by the Department of Industrial Policy and Promotion (DIPP), Ministry of Commerce and Industry, Government of India to procure necessary information and data for the purpose of assessing States on the ease of doing business. The questionnaire is required to be completed by the State Government. |
|-----------------------------|---|
| General Guidelines | 2. The questionnaire contains 285 questions split across 8 sections. |
| | 3. While indicative departments have been specified for various sections of the questionnaire, it is suggested that the State government review the same first and then send it to the appropriate departments, as may be required. |
| | 4. Each State shall submit <u>ONLY ONE</u> response per questionnaire. For each question in the questionnaire the State is required to provide <u>ONLY ONE</u> answer. Multiple answers from different departments to the same question will not be considered. |
| | 5. It is required that State government respond to all the questions. The questions for which responses are not received shall be considered as "No" and the implementation status shall be calculated accordingly. |
| | 6. Response to each of the questions can only be one of the three options namely: YES, NO or NOT APPLICABLE. |
| | 7. Respondent must indicate "YES" as the response ONLY IF all the criteria mentioned in the question are met. |
| Responding to the Questions | 8. Respondent must indicate "NO" as the response if the criteria in the question are not met / are partially met. |
| | 9. In case a new provision is being considered for future implementation, but is not in place at the time of completing the questionnaire, then the respondent is required to indicate 'NO' as the response to the question. |
| | 10. Respondent must select "NOT APPLICABLE (NA)" as the response ONLY IF the criteria does not hold good or does not apply to the State. |
| | 11. Respondent must provide most relevant supporting material wherein the answer has been indicated as "YES". The supporting material should CLEARLY INDICATE that the enabler has been implemented (in case the answer is "YES"). In the absence of the relevant/ appropriate supporting material, DIPP may not consider the response indicated as valid. |

| Supporting Material | 12. The supporting material required to validate the response to a question is indicated against each question. Wherever necessary, the State Government may provide additional document(s)/annexures with suitable referencing in addition to the supporting materials indicated. |
|-------------------------|--|
| | 13. For supporting material, latest/most current version (preferably in English language) should be furnished. |
| Assessment and | 14. The implementation status (which would reflect the percentage of factors enabling ease of doing business, implemented in the State) for the State shall be computed as: (Number of questions across all areas for which the response is "Yes") / [(Total number of questions across all areas) - (Number of questions across all areas for which the response is "Not applicable")] * 100% |
| Industry Inputs | 15. DIPP is also obtaining inputs (through a separate questionnaire) from industry stakeholders with regards to factors enabling ease of doing business in the State. The feedback of the industry shall be collated and presented to the States. |
| | 16. In case a response is not obtained from the State government within the prescribed timeline, DIPP may consider implementation score of the State as zero and publish ranking accordingly. |
| Queries & | 17. Respondents may contact DIPP team via email/phone for any queries/clarifications required during completion of the questionnaire. |
| Clarifications | 18. DIPP may call for any further particulars as and when necessary. DIPP team may reach out to State Governments for any query/clarification required on responses obtained. Visit schedule of DIPP team members to the States, would be conveyed to the States in advance. |
| Response Submissions | 19. State Government shall submit its final response to the questionnaire via the office of the Chief Secretary latest by 20 th June 2015. |

7.2 Acronyms

| S. No | Term | Definition |
|-------|------|---|
| 1. | CAD | Computer Aided Design |
| 2. | CAF | Common Application Form |
| 3. | CST | Central Sales Tax |
| 4. | CTD | Commercial Tax Department |
| 5. | DIPP | Department of Industrial Policy and Promotion |
| 6. | DW | Department of Water |
| 7. | ED | Electricity Department |
| 8. | EM | Entrepreneur Memorandum |
| 9. | EVD | Environment Department |
| 10. | FID | Fire Department |
| 11. | GIS | Geographical Information System |
| 12. | ID | Identification Number |
| 13. | IND | Industries Department |
| 14. | IT | Information Technology |
| 15. | ITES | Information Technology Enabled Services |
| 16. | LD | Labour Department |
| 17. | LWD | Law Department |
| 18. | NOC | No Objection Certificate |
| 19. | PRD | Panchayat and Rural Development Department |
| 20. | PT | Professional Tax |
| 21. | RD | Revenue Department |
| 22. | SPCB | State Pollution Control Board |
| 23. | TCD | Traffic and Co-ordination Department |
| 24. | UDHD | Urban Development & Housing Department |
| 25. | URL | Uniform Resource Locator |
| 26. | VAT | Value Added Tax |

Glossary

| S. No | Term | Definition | |
|-------|----------------------------------|---|--|
| 1 | Approval of application | Generation of official document confirming State's permission to initiate / carry out a certain task | |
| 2 | Auto CAD | A software for computer aided design of 2-dimensional and 3-dimensional models and drafting | |
| 3 | Common Application Form | A standardized form combining applications of multiple departments wherein common information such as | |
| | | name, project name, address, project type etc. are required to be provided only once | |
| 4 | Comprehensive | Complete and exhaustive | |
| 5 | Computerized risk assessment | A technology based program that evaluates establishments based on their risk profiles. The risk profile may | |
| | | be classified as low, medium and high | |
| 6 | e-cause list | Publishing of the case cause list electronically, on an appropriate web site | |
| 7 | e-filing | Filing of a case online by an aggrieved party, without having to go to the court | |
| 8 | e-stamping | Electronically generated impression on paper to denote the payment of Stamp duty | |
| 9 | e-summons | Issuing of summons by the courts, to the plaintiff and defendant, through e-mail | |
| 10 | Infrastructure related utilities | Includes utilities required by industries, such as: roads, street lights, electricity, sewage etc. | |
| 11 | Integrated returns | A mechanism of filing one return against more than one Act | |
| 12 | NOC | No objection certificate | |
| 13 | Nodal body | A single designated body responsible for a certain task | |
| 14 | Nodal officer | A single designated officer responsible for a certain task | |
| 15 | Physical touch point | Any interface (excluding visit by govt. official(s) to applicant's premises for certain mandatory inspections) | |
| | | that requires an applicant to visit/ meet a Govt. department/ official | |
| 16 | Self-certification | Certification issued by the industry, confirming their own compliance to norms and procedures | |
| 17 | Single window system | Single point interface for investors, to facilitate various approvals/ consents/ registrations etc. eliminating the | |
| | | need for multiple visits to various departments | |
| 18 | State | State Government | |
| 19 | Synchronized/Joint inspection | A mechanism of conducting single / common inspection of an establishments under more than one Act | |
| 20 | Third party | An non-Government individual/entity authorised and qualified to certify/inspect | |
| 21 | Timelines | The time to be taken from the point of application to the obtaining of what is applied for | |

Detailed Questionnaire:

Note: While indicative department has been highlighted as part of the questionnaire below, State may review and update as required

7.4.1 Part – 1 a: Setting up a business - General

| S. No. | Questions | Relevant Department (indicative) ¹ | Response (Yes/No) | Supporting Material |
|--------|--|---|----------------------|--|
| 1. | Does the State have a <u>publicly available comprehensive checklist</u> of all required No Objection Certificates (NOCs), licenses, registrations and other mandatory State approvals so that no other State approvals are required besides the ones indicated in the checklist to start a business? | IND | | a) URL of the webpage where the comprehensive checklist is published and/orb) A copy of the comprehensive checklist |
| 2. | Does the State have an <u>online system</u> where an investor can key in specific details (such as type of industry, number of employees, location etc.) and obtain information regarding all State approvals applicable to her/his business/industrial unit? | IND | | a) URL of the online system (along with dummy login credentials if applicable)b) Screenshot depicting a sample investor case |
| 3. | Does the State have a <u>centralized help line number</u> to facilitate queries regarding the application and approval process? | IND | | a) URL of the webpage where the centralized helpline number has been published |
| 4. | Does the State issue a <u>single ID</u> to business entities for the purpose of all State taxes? | СТД | | a) Notification/circular/office order by competent authority indicating details of the state taxes for which a single ID is issued to the businesses |
| 5. | Does the process for VAT registration mandate that the registration certificate (in case of non-sensitive goods) is issued within one (1) working day from the date of application? | СТД | | a) Notification/circular/office order by competent authority mandating that registration certificate is issued within 1 day |
| 6. | Does the process for professional tax registration mandate that the registration certificate is issued within one (1) working day from the date of application? | CTD | | a) Notification/circular/office order by competent authority mandating that registration certificate is issued within 1 day |

¹ While indicative departments have been highlighted for various sections of the questionnaire, it is suggested that the State government review the same first and then send it to the appropriate departments, as may be required

| S. No. | Questions | Relevant Department (indicative) ¹ | Response (Yes/No) | Sup | pporting Material |
|--------|---|---|----------------------|-----|--|
| 7. | Does the State have defined punitive provisions that deter officials from not complying with the defined timelines for services being provided to Industries/ Businesses? | IND | | a) | Copy of relevant acts/rules/ notifications/office order indicating the punitive provision that deter officials from not complying with the defined timelines for services being provided to Industries/ Businesses |
| 8. | Does the State have a <u>legislation</u> (e.g. <u>Right to Services Act</u>) enacted to mandate time bound delivery of services to Industries/ Businesses? | IND | | a) | Copy of the Act |
| 9. | Does the State have a provision of online filing of <u>EM Part I and Part II</u> under The Micro, Small and Medium Enterprises Development Act, 2006? | Relevant Department | | a) | URL of the online system (along with dummy login credentials if applicable) |

7.4.2 Part - 1 b: Setting up a business - Single window system

| S. No. | Questions | Relevant Department (indicative) ² | Response (Yes/No) | Supporting Material |
|--------|---|---|----------------------|--|
| 10. | Is there a dedicated body/setup that acts as a <u>single window system</u> and functions as the sole point of contact for the purpose of setting up a business? | IND | | a) Copy of relevant Acts/rules/office order/notifications |
| 11. | Does the single window system have an <u>online portal</u> for filing, payment and approvals? | IND | | a) URL of the single window system online portalb) Screenshots highlighting filing of applications, payment of applications and approvals of applications |
| 12. | Does the single window system have a <u>Common Application Form</u> (<u>CAF</u>) through which an investor can apply for various clearances/ approvals? | IND | | a) URL of the CAF where available, otherwise a copy of the CAF itself to be provided |
| 13. | Has the single window system been set up through a legislation/ State notification? | IND | | a) Acts/Notification highlighting the setting up of the single window system |
| 14. | Does the single window system provide <u>clear timelines</u> for issuing every approval being provided by it? | IND | | a) URL of the single window system (if there is an online portal) else rules/notification highlighting the timelines |
| 15. | Does the single window system provide <u>clear timelines for</u> <u>addressing investor grievances</u> ? | IND | | a) URL of the single window system (if there is an online portal) else rules/notification highlighting the timelines |
| 16. | Is 'Consent to establish (under Water Act & Air Act)' provided as a service through the single window system? | SPCB | | a) URL of the single window system (if there is an online portal) else rules/notification highlighting that this service is being provided by the single window |
| 17. | Is 'Consent to operate (under Water Act & Air Act)' provided as a service through the single window system? | SPCB | | a) URL of the single window system (if there is an online portal) else rules/notification highlighting that this service is being provided by the single window |
| 18. | Is 'Authorization under Hazardous Waste Rules' provided as a service through the single window system? | SPCB | | a) URL of the single window system (if there is an online portal) else rules/notification highlighting that this service is being provided by |

² While indicative departments have been highlighted for various sections of the questionnaire, it is suggested that the State government review the same first and then send it to the appropriate departments, as may be required

| S. No. | Questions | Relevant Department (indicative) ² | Response (Yes/No) | Supporting Material |
|--------|---|---|----------------------|---|
| | | | | the single window |
| 19. | Are incentives under Industrial Policy provided as a service through the single window system? | IND | | a) URL of the single window system (if there is an online portal) else rules/notification highlighting that this service is being provided by the single window |
| 20. | Is 'Registration under Shops and Establishments Act' provided as a service through the single window system? | LD | | a) URL of the single window system (if there is an online portal) else rules/notification highlighting that this service is being provided by the single window |
| 21. | Is 'Permission for engaging contractor for labour' provided as a service through the single window system? | LD | | a) URL of the single window system (if there is an online portal) else rules/notification highlighting that this service is being provided by the single window |
| 22. | Is <u>'Factories license'</u> provided as a service through the single window system? | LD | | a) URL of the single window system (if there is an online portal) else rules/notification highlighting that this service is being provided by the single window |
| 23. | Is 'Factory building plan approval' (under the Factories Act, 1948) provided as a service through the single window system? | LD | | a) URL of the single window system (if there is an online portal) else rules/notification highlighting that this service is being provided by the single window |
| 24. | Is 'Registration under Boiler Act' provided as a service through the single window system? | LD | | a) URL of the single window system (if there is an online portal) else rules/notification highlighting that this service is being provided by the single window |
| 25. | Is 'Change of land use' provided as a service through the single window system? | UDHD, RD | | a) URL of the single window system (if there is an online portal) else rules/notification highlighting that this service is being provided by the single window |
| 26. | Is 'Approval of building plans' provided as a service through the single window system? | UDHD | | a) URL of the single window system (if there is an online portal) else rules/notification highlighting that this service is being provided by the single window |

| S. No. | Questions | Relevant Department (indicative) ² | Response (Yes/No) | Supporting Material |
|--------|---|---|----------------------|---|
| 27. | Is 'NOC from Fire Department' provided as a service through the single window system? | FID | | a) URL of the single window system (if there is an online portal) else rules/notification highlighting that this service is being provided by the single window |
| 28. | Is 'New electricity connection application' provided as a service through the single window system? | ED | | a) URL of the single window system (if there is an online portal) else rules/notification highlighting that this service is being provided by the single window |

7.4.3 Part - 2 a: Allotment of land and obtaining construction permit - General

| S. No. | Questions | Relevant Department (indicative) ³ | Response (Yes/No) | Supporting Material |
|--------|---|---|----------------------|--|
| | Availability of Land | | | |
| 29. | Does the State have <u>land banks</u> available for industrial use? | IND | | a) URL of the portal/ website providing details on land banks available for industrial use |
| 30. | Does the State have a <u>framework to earmark land parcels</u> with the kind of industry (e.g. All types of industries, Green Industry only/ No Red industry) that can be setup on such land? | IND | | a) URL of the portal/website if available else copy of notification |
| 31. | Does the State have a <u>GIS system</u> providing details about the land earmarked for industrial use? | IND | | a) URL of the online GIS system along with login credentials if applicableb) Snapshot from the GIS system indicating details about land earmarked for industrial use |
| 32. | Does the GIS system provide <u>details about available infrastructure</u> such as road, water etc.? | IND | | a) URL of the online GIS system along with login credentials if applicable a) Screenshot from the GIS system highlighting details about available infrastructure such as road, water etc. |
| | Land Allotment | | | |
| 33. | Are the <u>criteria</u> for evaluating an application for land allotment <u>defined</u> in a manner that allows objective assessment of the application? | IND | | a) URL of the portal where the criteria for evaluating an application for land allotment has been defined |
| 34. | Are <u>clear timelines</u> defined for processing of application for land allotment (from the date of application)? | IND | | a) URL of the portal where clear timelines are defined (if available online) else notification highlighting the same |

³ While indicative departments have been highlighted for various sections of the questionnaire, it is suggested that the State government review the same first and then send it to the appropriate departments, as may be required

| S. No. | Questions | Relevant Department (indicative) ³ | Response (Yes/No) | Supporting Material |
|--------|---|--|----------------------|--|
| 35. | Are <u>clear timelines</u> defined for processing of application for conversion of land/ change in land use (from the date of application)? | Authority/ Agency that receives the highest number of applications annually for setting up industrial and commercial establishments. | | a) URL of the portal where clear timelines are defined (if available online) else notification highlighting the same |
| | Construction Permits | | | |
| 36. | Are <u>clear timelines</u> defined for processing of application for obtaining construction permit/ building permission (permit or certificate to start commencement of construction) from the date of application? | Authority/ Agency that receives the highest number of applications annually for setting up industrial and commercial establishments. | | a) URL of the portal where clear timelines are defined (if available online) else notification highlighting the same |
| 37. | Does the State have a provision for the building plan to be <u>assessed using online mechanisms</u> such as uploading and verifying the building plan using Auto CAD (or similar) software? | Authority/ Agency that receives the highest number of applications annually for setting up industrial and commercial establishments. | | a) URL of the webpage allowing uploading of building plan |

| S. No. | Questions | Relevant Department (indicative) ³ | Response (Yes/No) | Supporting Material |
|--------|--|--|----------------------|--|
| 38. | Does the State mandate conducting of a <u>single joint site inspection</u> by various government authorities responsible for granting construction permits? | Authority/ Agency that receives the highest number of applications annually for setting up industrial and commercial establishments. | | a) Notification/Act/Rule highlighting the mandate of conducting single joint site inspection |
| 39. | Has the State made the <u>zonal plans online</u> for easy information availability to assist applicants in developing building plans? | UDHD | | a) URL of the webpage with zonal planning details |
| 40. | Does the State have a provision that allows applicant to not seek a certificate of no tax due prior to filling an application for obtaining construction permit? | Authority/ Agency that receives the highest number of applications annually for setting up industrial and commercial establishments. | | a) Copy of procedures to be followed for application of construction permit. |
| 41. | Does the State have a provision that allows applicant to not seek notarized affidavit/undertaking (to indicate that during course of construction no building material will be stacked on public land) prior to filing an application for obtaining construction permit? | Authority/ Agency that receives the highest number of applications annually for setting up industrial and commercial establishments. | | a) Copy of procedures to be followed for application of construction permit. |

| S. No. | Questions | Relevant Department (indicative) ³ | Response (Yes/No) | Supporting Material |
|--------|---|--|----------------------|---|
| 42. | Does the State allow approval based on third party certification (during construction and/or completion stage, as applicable) of structural design and architectural drawings by authorized structural engineers and architects respectively? | Authority/ Agency that receives the highest number of applications annually for setting up industrial and commercial establishments. | | a) Notification/rule highlighting the provision for allowing approval based on third party certification of structural design and architectural drawings by authorized structural engineers and architects respectively |
| 43. | If the answer to the above question is 'Yes', are there appropriate mechanisms to <u>levy penalty</u> in case of violation of certification procedures? | Authority/ Agency that receives the highest number of applications annually for setting up industrial and commercial establishments. | | a) Notification/rule highlighting the provision for appropriate mechanisms to levy penalty in case of violation of certification procedures |
| 44. | Is there a single completion-cum-occupancy certificate instead of separate completion and occupancy certificates? | Authority/ Agency that receives the highest number of applications annually for setting up industrial and commercial establishments. | | a) Notification/ circular/ URL of the webpage indicating issuance of a single completion-cum-completion certificate |

| S. No. | Questions | Relevant Department (indicative) ³ | Response (Yes/No) | Supporting Material |
|--------|--|---|----------------------|--|
| | Property Registration | | | |
| 45. | Does the State have a <u>model sale deed format</u> for property registration that is made available on the department's website? | RD | | a) URL of the webpage where the model of the sale deed format is available |
| 46. | Can property registration application be completed online? | RD | | a) URL of the webpage where the application can be completed online |
| 47. | Can payment for property registration be made online? | RD | | a) URL of the webpage where the payment can be made online |
| 48. | Are <u>e-Stamping facilities</u> available across the sub registrar offices in the State for the purpose of property registration? | RD | | a) URL of the webpage where e-stamping facility being provided |
| 49. | Are <u>land records digitized</u> at the sub-registrar's office? | RD | | a) Snapshot of a sample land record index |
| 50. | Are <u>land records digitized</u> at the land records office? | RD | | a) Snapshot of a sample land record index |
| 51. | Are <u>land records digitized</u> at the local municipality office? | RD/UDHD | | a) Snapshot of a sample land record index |
| 52. | Is the data at sub-registrar's office, land records office and local municipality office integrated to facilitate property wise mapping of transactions? | RD | | a) Snapshots of sample transactions |
| 53. | Is the mutation process integrated with the registration process through <u>automatic update</u> of land and municipal records during property registration? | RD | | a) Snapshots of sample transactions |

7.4.4 Part - 2 b: Allotment of land and obtaining construction permit - NOCs/ licences/ registrations

Approval of building plan (prior to commencement of construction activities)

| S. No. | Questions | Relevant Department (indicative) ⁴ | Response (Yes/No) | Supporting material |
|--------|--|--|----------------------|--|
| 54. | Information availability Is the procedure, along with a comprehensive list of all documents that need to be provided available on the web site? | Authority/ Agency that receives highest number of applications annually for setup of any industrial or commercial establishments | | a) URL of the website where procedure along with a comprehensive list of all documents is published |
| 55. | <u>Defined timelines</u> Are there <u>clear timelines</u> defined for approval of application? | Authority/ Agency that receives highest number of applications annually for setup of any industrial or commercial establishments | | a) URL of the webpage where timelines are defined/notification indicating clear timelines. |
| 56. | Technology enablement Is there a provision for online application and payment without the need for a physical touch point? Note: In case the payment is not required as part of the application process, the State may respond to the aspect relating to provision for online application without the need for a physical touch point. However, if payment is required as part of the application process but is not online (although the application is online), the response would have to be indicated as a "No". | Authority/ Agency that receives highest number of applications annually for setup of any industrial or commercial establishments | | a) URL of the webpage allowing online application and payment b) Copy of the process confirming no physical touch point |
| 57. | Is the approval certificate issued in an online format which is downloadable and verifiable*? *Note: The authenticity of the document may be verifiable using means such as verification based on certificate number etc. | Authority/ Agency that receives highest number of applications annually for setup of any industrial or commercial establishments | | a) Copy of a sample certificate b) Copy of the procedures indicating ability to download and verify the certificate |

⁴ While indicative departments have been highlighted for various sections of the questionnaire, it is suggested that the State government review the same first and then send it to the appropriate departments, as may be required.

NOC from traffic & coordination department/ relevant traffic authority (prior to commencement of construction activities)

| S. No. | Questions | Relevant Department (indicative) ⁵ | Response (Yes/No) | Supporting material |
|--------|---|---|----------------------|---|
| 58. | Information availability Is the procedure, along with a comprehensive list of all documents that need to be provided, available on the web site? | Relevant Agency | | a) URL of the website where procedure along with a comprehensive list of all documents is published |
| 59. | <u>Defined timelines</u> Are there <u>clear timelines</u> defined for approval of application? | Relevant Agency | | a) URL of the webpage where timelines are defined/notification indicating clear timelines. |
| 60. | Is there a provision for online application and payment, without the need for a physical touch point? Note: In case the payment is not required as part of the application process, the State may respond to the aspect relating to provision for online application without the need for a physical touch point. However, if payment is required as part of the application process but is not online (although the application is online), the response would have to be indicated as a "No". | Relevant Agency | | a) URL of the webpage allowing online application and payment b) Copy of the process confirming no physical touch point |
| 61. | Is the approval certificate issued in an online format which is downloadable and verifiable*? *Note: The authenticity of the document may be verifiable using means such as verification based on certificate number etc. | Relevant Agency | | a) Copy of a sample certificate b) Copy of the procedures indicating ability to download and verify the certificate |

⁵ While indicative departments have been highlighted for various sections of the questionnaire, it is suggested that the State government review the same first and then send it to the appropriate departments, as may be required

NOC for felling trees from Tree Authority/ Appropriate Authority (prior to commencement of construction activities)

| S. No. | Questions | Relevant Department (indicative) ⁶ | Response (Yes/No) | Supporting material |
|--------|---|---|----------------------|---|
| 62. | Information availability Is the procedure, along with a comprehensive list of all documents that need to be provided, available on the web site? | Relevant Agency | | a) URL of the website where procedure along with a comprehensive list of all documents is published |
| 63. | <u>Defined timelines</u> Are there <u>clear timelines</u> defined for approval of application? | Relevant Agency | | a) URL of the webpage where timelines are defined/notification indicating clear timelines. |
| 64. | Is there a provision for <u>online application and payment</u> , without the need for a physical touch point? Note: In case the payment is not required as part of the application process, the State may respond to the aspect relating to provision for online application without the need for a physical touch point. However, if payment is required as part of the application process but is not online (although the application is online), the response would have to be indicated as a "No". | Relevant Agency | | a) URL of the webpage allowing online application and payment b) Copy of the process confirming no physical touch point |
| 65. | Is the approval certificate issued in an online format which is downloadable and verifiable*? *Note: The authenticity of the document may be verifiable using means such as verification based on certificate number etc. | Relevant Agency | | a) Copy of a sample certificate b) Copy of the procedures indicating ability to download and verify the certificate |

⁶ While indicative departments have been highlighted for various sections of the questionnaire, it is suggested that the State government review the same first and then send it to the appropriate departments, as may be required

7.4.5 Part - 3 a: Complying with environment procedures - General

| S. No. | Questions | Relevant Department (indicative) ⁷ | Response (Yes/ No) | Supporting Material |
|--------|--|---|-----------------------|---|
| 66. | Does the State <u>differentiate inspection requirements</u> as per industry categorization (Red, Amber, and Green), with Green industries not requiring any environmental inspection? | SPCB | | Notification indicating differential inspection requirements as per industry categorization with Green industries not requiring any environmental inspection |
| 67. | Are there select type of green industries for which no pollution control board clearances are required? | SPCB | | a) URL of the webpage/Notification or Circular highlighting type of green industries for which no pollution control board clearances are required |
| 68. | Does the State have an <u>online</u> consent management system/portal for environment/pollution related approvals? | SPCB | | a) URL of the online consent management system/portal for environment/pollution related approvals |
| 69. | Does the online consent management system provide functionality for fee calculation and online payment of fees? | SPCB | | a) URL and screenshot of the webpage providing functionality for fee calculation and online payment of fees |
| 70. | Are pollution control certificates <u>not a pre-requisite</u> for obtaining electricity connection? | ED | | a) Copy of the list of documents required as part of making application for electricity connection |
| 71. | Do the compliance requirements mandate maintaining of an <u>online</u> register (and not a physical register) for various environment/pollution related acts (under the purview of State Pollution Control Boards)? | SPCB | | a) Notification indicating the mandate maintaining of an online register (and not a physical register) for various environment/pollution related acts |
| 72. | Is there a provision for auto-renewal of Consent to Establish (under Water Act, 1974 and Air Act, 1981) based on self-certification/third party certification ? | SPCB | | a) Office order /circular indicating the provision for auto-renewal of Consent to Establish (under Water Act, 1974 and Air Act, 1981) based on self-certification/third party certification |
| 73. | Is there a provision for auto-renewal of Consent to Operate (under Water Act, 1974 and Air Act, 1981) based on self-certification/third-party-certification ? | SPCB | | a) Office order /circular indicating the provision for auto-renewal of Consent to Operate (under Water Act, 1974 and Air Act, 1981) based on self-certification/third party certification |
| 74. | Is the Consent to Operate provided for a period of <u>5 years or more</u> ? | SPCB | | a) Office order /circular/Notification indicating the provision |

⁷ While indicative departments have been highlighted for various sections of the questionnaire, it is suggested that the State government review the same first and then send it to the appropriate departments, as may be required

7.4.6 Part - 3 b: Complying with environment procedures - NOCs/ licenses/ registrations

Consent to Establish under Water (Prevention and Control of Pollution) Act, 1974

| S. No. | Questions | Relevant Department (indicative) ⁸ | Response (Yes/No) | Supporting material |
|--------|---|---|----------------------|--|
| 75. | Information availability Is the procedure, along with a comprehensive list of all documents that need to be provided, available on the web site? | SPCB | | a) URL of the website where procedure along with a comprehensive list of all documents is published |
| 76. | <u>Defined timelines</u> Are there <u>clear timelines</u> defined for approval of application? | SPCB | | a) URL of the webpage where timelines are defined/notification indicating clear timelines. |
| 77. | Is there a provision for online application and payment, without the need for a physical touch point? Note: In case the payment is not required as part of the application process, the State may respond to the aspect relating to provision for online application without the need for a physical touch point. However, if payment is required as part of the application process but is not online (although the application is online), the response would have to be indicated as a "No". | SPCB | | a) URL of the webpage allowing online application and payment b) Copy of the process confirming no physical touch point |
| 78. | Is the approval certificate issued in an online format which is downloadable and verifiable*? *Note: The authenticity of the document may be verifiable using means such as verification based on certificate number etc. | SPCB | | a) Copy of a sample certificate b) Copy of the procedures indicating ability to download and verify the certificate |

⁸ While indicative departments have been highlighted for various sections of the questionnaire, it is suggested that the State government review the same first and then send it to the appropriate departments, as may be required

Consent to Establish under Air (Prevention and Control of Pollution) Act, 1981

| S. No. | Questions | Relevant Department (indicative) ⁹ | Response (Yes/No) | Supporting material |
|--------|---|---|----------------------|---|
| 79. | Information availability Is the procedure, along with a comprehensive list of all documents that need to be provided available on the web site? | SPCB | | a) URL of the website where procedure along with a comprehensive list of all documents is published |
| 80. | <u>Defined timelines</u> Are there <u>clear timelines</u> defined for approval of application? | SPCB | | a) URL of the webpage where timelines are defined/notification indicating clear timelines. |
| 81. | Is there a provision for online application and payment, without the need for a physical touch point? Note: In case the payment is not required as part of the application process, the State may respond to the aspect relating to provision for online application without the need for a physical touch point. However, if payment is required as part of the application process but is not online (although the application is online), the response would have to be indicated as a "No". | SPCB | | a) URL of the webpage allowing online application and payment b) Copy of the process confirming no physical touch point |
| 82. | Is the approval certificate issued in an online format which is downloadable and verifiable*? *Note: The authenticity of the document may be verifiable using means such as verification based on certificate number etc. | SPCB | | a) Copy of a sample certificate b) Copy of the procedures indicating ability to download and verify the certificate |

⁹ While indicative departments have been highlighted for various sections of the questionnaire, it is suggested that the State government review the same first and then send it to the appropriate departments, as may be required.

Authorization under Hazardous Waste (Management and Handling) Rules, 1989

| S. No. | Questions | Relevant Department (indicative) | Response (Yes/No) | Supporting material |
|--------|--|----------------------------------|----------------------|--|
| 83. | Information availability Is the procedure, along with a comprehensive list of all documents that need to be provided, available on the web site? | SPCB | | a) URL of the website where procedure along with a comprehensive list of all documents is published |
| 84. | <u>Defined timelines</u> Are there <u>clear timelines</u> defined for approval of application? | SPCB | | b) URL of the webpage where timelines are defined/notification indicating clear timelines. |
| 85. | Technology enablement Is there a provision for online application and payment, without the need for a physical touch point? Note: In case the payment is not required as part of the application process, the State may respond to the aspect relating to provision for online application without the need for a physical touch point. However, if payment is required as part of the application process but is not online (although the application is online), the response would have to be indicated as a "No". | SPCB | | a) URL of the webpage allowing online application and payment b) Copy of the process confirming no physical touch point |
| 86. | Is the approval certificate issued in an online format which is downloadable and verifiable*? *Note: The authenticity of the document may be verifiable using means such as verification based on certificate number etc. | SPCB | | a) Copy of a sample certificate b) Copy of the procedures indicating ability to download and verify the certificate |

¹⁰ While indicative departments have been highlighted for various sections of the questionnaire, it is suggested that the State government review the same first and then send it to the appropriate departments, as may be required

Authorization under Municipal Solid Wastes (Management & Handling) Rules, 2000

| S. No. | Questions | Relevant Department (indicative) | Response (Yes/No) | Supporting material |
|--------|--|----------------------------------|----------------------|--|
| 87. | Information availability Is the procedure, along with a comprehensive list of all documents that need to be provided, available on the web site? | SPCB | | a) URL of the website where procedure along with a comprehensive list of all documents is published |
| 88. | <u>Defined timelines</u> Are there <u>clear timelines</u> defined for approval of application? | SPCB | | a) URL of the webpage where timelines are defined/notification indicating clear timelines. |
| 89. | Technology enablement Is there a provision for online application and payment, without the need for a physical touch point? Note: In case the payment is not required as part of the application process, the State may respond to the aspect relating to provision for online application without the need for a physical touch point. However, if payment is required as part of the application process but is not online (although the application is online), the response would have to be indicated as a "No". | SPCB | | a) URL of the webpage allowing online application and payment b) Copy of the process confirming no physical touch point |
| 90. | Is the approval certificate issued in an online format which is downloadable and verifiable*? *Note: The authenticity of the document may be verifiable using means such as verification based on certificate number etc. | SPCB | | a) Copy of a sample certificate b) Copy of the procedures indicating ability to download and verify the certificate |

¹¹ While indicative departments have been highlighted for various sections of the questionnaire, it is suggested that the State government review the same first and then send it to the appropriate departments, as may be required

Consent to Operate under Water (Prevention and Control of Pollution) Act, 1974

| S. No. | Questions | Relevant Department (indicative) | Response (Yes/No) | Supporting material |
|--------|--|----------------------------------|----------------------|--|
| 91. | Information availability Is the procedure, along with a comprehensive list of all documents that need to be provided, available on the web site? | SPCB | | a) URL of the website where procedure along with a comprehensive list of all documents is published |
| 92. | <u>Defined timelines</u> Are there <u>clear timelines</u> defined for approval of application? | SPCB | | URL of the webpage where timelines are defined/notification indicating clear timelines. |
| 93. | Technology enablement Is there a provision for online application and payment, without the need for a physical touch point? Note: In case the payment is not required as part of the application process, the State may respond to the aspect relating to provision for online application without the need for a physical touch point. However, if payment is required as part of the application process but is not online (although the application is online), the response would have to be indicated as a "No". | SPCB | | a) URL of the webpage allowing online application and payment b) Copy of the process confirming no physical touch point |
| 94. | Is the approval certificate issued in an online format which is downloadable and verifiable*? *Note: The authenticity of the document may be verifiable using means such as verification based on certificate number etc. | SPCB | | a) Copy of a sample certificate b) Copy of the procedures indicating ability to download and verify the certificate |

¹² While indicative departments have been highlighted for various sections of the questionnaire, it is suggested that the State government review the same first and then send it to the appropriate departments, as may be required

Consent to Operate under Air (Prevention and Control of Pollution) Act, 1981

| S. No. | Questions | Relevant Department (indicative) | Response (Yes/No) | Supporting material |
|--------|--|----------------------------------|----------------------|---|
| 95. | Information availability Is the procedure, along with a comprehensive list of all documents that need to be provided, available on the web site? | SPCB | | a) URL of the website where procedure along with a comprehensive list of all documents is published |
| 96. | <u>Defined timelines</u> Are there <u>clear timelines</u> defined for approval of application? | SPCB | | a) URL of the webpage where timelines are defined/notification indicating clear timelines. |
| 97. | Technology enablement Is there a provision for online application and payment, without the need for a physical touch point? Note: In case the payment is not required as part of the application process, the State may respond to the aspect relating to provision for online application without the need for a physical touch point. However, if payment is required as part of the application process but is not online (although the application is online), the response would have to be indicated as a "No". | SPCB | | a) URL of the webpage allowing online application and payment b) Copy of the process confirming no physical touch point |
| 98. | Is the approval certificate issued in an online format which is downloadable and verifiable*? *Note: The authenticity of the document may be verifiable using means such as verification based on certificate number etc. | SPCB | | a) Copy of a sample certificate b) Copy of the procedures indicating ability to download and verify the certificate |

¹³ While indicative departments have been highlighted for various sections of the questionnaire, it is suggested that the State government review the same first and then send it to the appropriate departments, as may be required.

7.4.7 Part - 4 a: Complying with labour regulations - General

| S. No. | Questions | Relevant Department (indicative) ¹⁴ | Response (Yes/No) | Supporting Material |
|--------|---|--|----------------------|--|
| 99. | Does the State have a provision for <u>self-certification</u> under The Factories Act, 1948 for certain type of industries? | LD | | a) Notification indicating the provision for self-certification under The Factories Act, 1948 |
| 100. | Does the State have a provision for <u>self-certification</u> under The Minimum Wages Act, 1948, for certain type of industries? | LD | | a) Notification indicating the provision for self-certification under The Minimum Wages Act 1948 |
| 101. | Does the State have a provision for <u>self-certification</u> under The Shops and Establishment Act (as applicable) for certain type of industries? | LD | | a) Notification indicating the provision for self-certification under The Shops and Establishment Act |
| 102. | Does the State have a <u>provision for self-certification</u> under The Payment of Wages Act, 1936, for certain type of industries? | LD | | a) Notification indicating the provision for self-certification under The Payment of Wages Act, 1936 |
| 103. | Does the State have a provision for <u>self-certification</u> under The Contract Labour (Regulation and Abolition) Act, 1970, for certain type of industries? | LD | | a) Notification indicating the provision for self-certification under The Contract Labour (Regulation and Abolition) Act, 1970 |
| 104. | Does the State have a provision for self-certification/third party certification of boilers? | LD | | a) Notification indicating the provision for self-certification/ third party certification of boilers |
| 105. | Does the State have a provision for issuing <u>Factory License with</u> <u>validity of 5 years or more</u> ? | LD | | a) Rules/Notification indicating the validity of Factory License |

¹⁴ While indicative departments have been highlighted for various sections of the questionnaire, it is suggested that the State government review the same first and then send it to the appropriate departments, as may be required

7.4.8 Part - 4 b: Complying with labour regulations – Application for NOCs/ licences/ registrations

Registration and grant of license under The Factories Act, 1948

| S. No. | Questions | Relevant Department (indicative) | Response (Yes/No) | Supporting material | |
|--------|--|----------------------------------|----------------------|---|---------|
| 106. | Information availability Is the procedure, along with a comprehensive list of all documents that need to be provided for the application process, available on the web site? | LD | | a) URL of the website where procedure along with a comprehensive list of all documents is published | |
| 107. | <u>Defined timelines</u> Are there <u>clear timelines</u> defined for approval of application? | LD | | a) URL of the webpage where timelines are defined/notifical indicating clear timelines. | ication |
| 108. | Is there is a provision for online application and payment, without the need for a physical touch point? Note: In case the payment is not required as part of the application process, the State may respond to the aspect relating to provision for online application without the need for a physical touch point. However, if payment is required as part of the application process but is not online (although the application is online), the response would have to be indicated as a "No". | LD | | a) URL of the webpage allowing online application and payr b) Copy of the process confirming no physical touch point | - |
| 109. | Is the approval certificate issued in an online format which is downloadable and verifiable*? *Note: The authenticity of the document may be verifiable using means such as verification based on certificate number etc. | LD | | a) Copy of a sample certificate b) Copy of the procedures indicating ability to download an verify the certificate | and |

¹⁵ While indicative departments have been highlighted for various sections of the questionnaire, it is suggested that the State government review the same first and then send it to the appropriate departments, as may be required.

Approval of plan and permission to construct/extend/or take into use any building as a factory under the Factories Act, 1948

| S. No. | Questions | Relevant Department (indicative) | Response (Yes/No) | Support | ting material |
|--------|--|----------------------------------|----------------------|----------|---|
| 110. | Information availability Is the procedure, along with a comprehensive list of all documents that need to be provided for the application process, available on the web site? | LD | | a) | URL of the website where procedure along with a comprehensive list of all documents is published |
| 111. | <u>Defined timelines</u> Are there <u>clear timelines</u> defined for approval of application? | LD | | a) | URL of the webpage where timelines are defined/notification indicating clear timelines. |
| 112. | Is there is a provision for online application and payment, without the need for a physical touch point? Note: In case the payment is not required as part of the application process, the State may respond to the aspect relating to provision for online application without the need for a physical touch point. However, if payment is required as part of the application process but is not online (although the application is online), the response would have to be indicated as a "No". | LD | | a) b) | URL of the webpage allowing online application and payment Copy of the process confirming no physical touch point |
| 113. | Is the approval certificate issued in an online format which is downloadable and verifiable*? *Note: The authenticity of the document may be verifiable using means such as verification based on certificate number etc. | LD | | a) b) | Copy of a sample certificate Copy of the procedures indicating ability to download and verify the certificate |

¹⁶ While indicative departments have been highlighted for various sections of the questionnaire, it is suggested that the State government review the same first and then send it to the appropriate departments, as may be required.

License under The Indian Boilers Act, 1923

| S. No. | Questions | Relevant Department (indicative) | Response (Yes/No) | Supporting material |
|--------|---|----------------------------------|----------------------|--|
| 114. | Information availability Is the procedure, along with a comprehensive list of all documents that need to be provided for the application process, available on the web site? | LD | | a) URL of the website where procedure along with a comprehensive list of all documents is published |
| 115. | <u>Defined timelines</u> Are there <u>clear timelines</u> defined for approval of application? | LD | | a) URL of the webpage where timelines are defined/notification indicating clear timelines. |
| 116. | Technology enablement Is there is a provision for online application and payment, without the need for a physical touch point? Note: In case the payment is not required as part of the application process, the State may respond to the aspect relating to provision for online application without the need for a physical touch point. However, if payment is required as part of the application process but is not online (although the application is online), the response would have to be indicated as a "No". | LD | | a) URL of the webpage allowing online application and payment b) Copy of the process confirming no physical touch point |
| 117. | Is the approval certificate issued in an online format which is downloadable and verifiable*? *Note: The authenticity of the document may be verifiable using means such as verification based on certificate number etc. | LD | | a) Copy of a sample certificate b) Copy of the procedures indicating ability to download and verify the certificate |

¹⁷ While indicative departments have been highlighted for various sections of the questionnaire, it is suggested that the State government review the same first and then send it to the appropriate departments, as may be required.

License for contractors under provision of The Contracts Labour (Regulation and Abolition) Act, 1970

| S. No. | Questions | Relevant Department (indicative) | Response (Yes/No) | Supporting material |
|--------|---|----------------------------------|----------------------|--|
| 118. | Information availability Is the procedure, along with a comprehensive list of all documents that need to be provided for the application process, available on the web site? | LD | | a) URL of the website where procedure along with a comprehensive list of all documents is published |
| 119. | <u>Defined timelines</u> Are there <u>clear timelines</u> defined for approval of application? | LD | | a) URL of the webpage where timelines are defined/notification indicating clear timelines. |
| 120. | Technology enablement Is there is a provision for online application and payment, without the need for a physical touch point? Note: In case the payment is not required as part of the application process, the State may respond to the aspect relating to provision for online application without the need for a physical touch point. However, if payment is required as part of the application process but is not online (although the application is online), the response would have to be indicated as a "No". | LD | | a) URL of the webpage allowing online application and payment b) Copy of the process confirming no physical touch point |
| 121. | Is the approval certificate issued in an online format which is downloadable and verifiable*? *Note: The authenticity of the document may be verifiable using means such as verification based on certificate number etc. | LD | | a) Copy of a sample certificate b) Copy of the procedures indicating ability to download and verify the certificate |

¹⁸ While indicative departments have been highlighted for various sections of the questionnaire, it is suggested that the State government review the same first and then send it to the appropriate departments, as may be required.

Registration under The Shops and Establishment Act

| S. No. | Questions | Relevant Department (indicative) | Response (Yes/No) | Suppor | ting material |
|--------|--|----------------------------------|----------------------|----------|---|
| 122. | Information availability Is the procedure, along with a comprehensive list of all documents that need to be provided for the application process, available on the web site? | LD | | a) | URL of the website where procedure along with a comprehensive list of all documents is published |
| 123. | <u>Defined timelines</u> Are there <u>clear timelines</u> defined for approval of application? | LD | | a) | URL of the webpage where timelines are defined/notification indicating clear timelines. |
| 124. | Is there is a provision for online application and payment, without the need for a physical touch point? Note: In case the payment is not required as part of the application process, the State may respond to the aspect relating to provision for online application without the need for a physical touch point. However, if payment is required as part of the application process but is not online (although the application is online), the response would have to be indicated as a "No". | LD | | a) b) | URL of the webpage allowing online application and payment Copy of the process confirming no physical touch point |
| 125. | Is the approval <u>certificate issued</u> in an online format which is downloadable and verifiable*? *Note: The authenticity of the document may be verifiable using means such as verification based on certificate number etc. | LD | | a) b) | Copy of a sample certificate Copy of the procedures indicating ability to download and verify the certificate |

¹⁹ While indicative departments have been highlighted for various sections of the questionnaire, it is suggested that the State government review the same first and then send it to the appropriate departments, as may be required.

vi. Registration of principal employer's establishment under provision of The Contracts Labour (Regulation and Abolition) Act, 1970

| S. No. | Questions | Relevant Department (indicative) | Response (Yes/No) | Supporting material |
|--------|--|----------------------------------|----------------------|--|
| 126. | Information availability Is the procedure, along with a comprehensive list of all documents that need to be provided for the application process, available on the web site? | LD | | a) URL of the website where procedure along with a comprehensive list of all documents is published |
| 127. | Defined timelines Are there clear timelines defined for approval of application? | LD | | a) URL of the webpage where timelines are defined/notification indicating clear timelines. |
| 128. | Is there is a provision for online application and payment, without the need for a physical touch point? Note: In case the payment is not required as part of the application process, the State may respond to the aspect relating to provision for online application without the need for a physical touch point. However, if payment is required as part of the application process but is not online (although the application is online), the response would have to be indicated as a "No". | LD | | a) URL of the webpage allowing online application and payment b) Copy of the process confirming no physical touch point |
| 129. | Is the approval <u>certificate issued</u> in an online format which is downloadable and verifiable*? *Note: The authenticity of the document may be verifiable using means such as verification based on certificate number etc. | LD | | a) Copy of a sample certificate b) Copy of the procedures indicating ability to download and verify the certificate |

²⁰ While indicative departments have been highlighted for various sections of the questionnaire, it is suggested that the State government review the same first and then send it to the appropriate departments, as may be required,

Registration under The Building and Other Construction Workers (Regulation of Employment and Conditions of Service) Act,1996 vii.

| S. No. | Questions | Relevant Department (indicative) | Response (Yes/No) | Suppor | ting material |
|--------|---|----------------------------------|----------------------|----------|---|
| 130. | Information availability Is the procedure, along with a comprehensive list of all documents that need to be provided for the application process, available on the web site? | LD | | a) | URL of the website where procedure along with a comprehensive list of all documents is published |
| 131. | <u>Defined timelines</u> Are there <u>clear timelines</u> defined for approval of application? | LD | | a) | URL of the webpage where timelines are defined/notification indicating clear timelines. |
| 132. | Technology enablement Is there is a provision for online application and payment, without the need for a physical touch point? Note: In case the payment is not required as part of the application process, the State may respond to the aspect relating to provision for online application without the need for a physical touch point. However, if payment is required as part of the application process but is not online (although the application is online), the response would have to be indicated as a "No". | LD | | a) b) | URL of the webpage allowing online application and payment Copy of the process confirming no physical touch point |
| 133. | Is the approval certificate issued in an online format which is downloadable and verifiable*? *Note: The authenticity of the document may be verifiable using means such as verification based on certificate number etc. | LD | | a) b) | Copy of a sample certificate Copy of the procedures indicating ability to download and verify the certificate |

²¹ While indicative departments have been highlighted for various sections of the questionnaire, it is suggested that the State government review the same first and then send it to the appropriate departments, as may be required.

7.4.9 Part - 4 C: Complying with labour regulations - Application for renewal of approvals

Renewal of license under The Factories Act, 1948

| S. No. | Questions | Relevant Department (indicative) | Response (Yes/No) | Support | ting material |
|--------|---|----------------------------------|----------------------|----------|---|
| 134. | Information availability Is the procedure, along with a comprehensive list of all documents that need to be provided for the renewal process, available on the web site? | LD | | a) | URL of the website where procedure along with a comprehensive list of all documents is published |
| 135. | <u>Defined timelines</u> Are there <u>clear timelines</u> defined for renewal of approval? | LD | | 1 | URL of the webpage where timelines are defined/notification indicating clear timelines |
| 136. | Technology enablement Is there is a provision for online application and payment, without the need for a physical touch point? Note: In case the payment is not required as part of the application process, the State may respond to the aspect relating to provision for online application without the need for a physical touch point. However, if payment is required as part of the application process but is not online (although the application is online), the response would have to be indicated as a "No". | LD | | a) b) | URL of the webpage allowing online application and payment Copy of the process confirming no physical touch point |
| 137. | Is the approval certificate issued in an online format which is downloadable and verifiable*? *Note: The authenticity of the document may be verifiable using means such as verification based on certificate number etc. | LD | | b) | Copy of a sample certificate Copy of the procedures indicating ability to download and verify the certificate |

²² While indicative departments have been highlighted for various sections of the questionnaire, it is suggested that the State government review the same first and then send it to the appropriate departments, as may be required.

ii. Renewal of license under The Indian Boilers Act, 1923

| S. No. | Questions | Relevant Department (indicative) | Response (Yes/No) | Suppor | ting material |
|--------|---|----------------------------------|----------------------|----------|---|
| 138. | Information availability Is the procedure, along with a comprehensive list of all documents that need to be provided for the renewal process, available on the web site? | LD | | a) | URL of the website where procedure along with a comprehensive list of all documents is published |
| 139. | <u>Defined timelines</u> Are there <u>clear timelines</u> defined for renewal of approval? | LD | | a) | URL of the webpage where timelines are defined/notification indicating clear timelines. |
| 140. | Technology enablement Is there is a provision for online application and payment, without the need for a physical touch point? Note: In case the payment is not required as part of the application process, the State may respond to the aspect relating to provision for online application without the need for a physical touch point. However, if payment is required as part of the application process but is not online (although the application is online), the response would have to be indicated as a "No". | LD | | a) b) | URL of the webpage allowing online application and payment Copy of the process confirming no physical touch point |
| 141. | Is the approval certificate issued in an online format which is downloadable and verifiable*? *Note: The authenticity of the document may be verifiable using means such as verification based on certificate number etc. | LD | | a) b) | Copy of a sample certificate Copy of the procedures indicating ability to download and verify the certificate |

²³ While indicative departments have been highlighted for various sections of the questionnaire, it is suggested that the State government review the same first and then send it to the appropriate departments, as may be required.

Renewal of license for contractors under provision of The Contracts Labour (Regulation and Abolition) Act, 1970

| S. No. | Questions | Relevant Department (indicative) | Response (Yes/No) | Supporting material |
|--------|--|----------------------------------|----------------------|--|
| 142. | Information availability Is the procedure, along with a comprehensive list of all documents that need to be provided for the renewal process, available on the web site? | LD | | a) URL of the website where procedure along with a comprehensive list of all documents is published |
| 143. | <u>Defined timelines</u> Are there <u>clear timelines</u> defined for renewal of approval? | LD | | a) URL of the webpage where timelines are defined/notification indicating clear timelines. |
| 144. | Is there is a provision for online application and payment, without the need for a physical touch point? Note: In case the payment is not required as part of the application process, the State may respond to the aspect relating to provision for online application without the need for a physical touch point. However, if payment is required as part of the application process but is not online (although the application is online), the response would have to be indicated as a "No". | LD | | a) URL of the webpage allowing online application and payment b) Copy of the process confirming no physical touch point |
| 145. | Is the approval <u>certificate issued</u> in an online format which is downloadable and verifiable*? *Note: The authenticity of the document may be verifiable using means such as verification based on certificate number etc. | LD | | a) Copy of a sample certificate b) Copy of the procedures indicating ability to download and verify the certificate |

²⁴ While indicative departments have been highlighted for various sections of the questionnaire, it is suggested that the State government review the same first and then send it to the appropriate departments, as may be required.

iv. Renewal under The Shops and Establishment Act

| S. No. | Questions | Relevant Department (indicative) | Response (Yes/No) | Supporting material |
|--------|--|----------------------------------|----------------------|--|
| 146. | Information availability Is the procedure, along with a comprehensive list of all documents that need to be provided for the renewal process, available on the web site? | LD | | a) URL of the website where procedure along with a comprehensive list of all documents is published |
| 147. | Defined timelines Are there clear timelines defined for renewal of approval? | LD | | a) URL of the webpage where timelines are defined/notification indicating clear timelines. |
| 148. | Is there is a provision for online application and payment, without the need for a physical touch point? Note: In case the payment is not required as part of the application process, the State may respond to the aspect relating to provision for online application without the need for a physical touch point. However, if payment is required as part of the application process but is not online (although the application is online), the response would have to be indicated as a "No". | LD | | a) URL of the webpage allowing online application and payment b) Copy of the process confirming no physical touch point |
| 149. | Is the approval <u>certificate issued</u> in an online format which is downloadable and verifiable*? *Note: The authenticity of the document may be verifiable using means such as verification based on certificate number etc. | LD | | a) Copy of a sample certificate b) Copy of the procedures indicating ability to download and verify the certificate |

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²⁵ While indicative departments have been highlighted for various sections of the questionnaire, it is suggested that the State government review the same first and then send it to the appropriate departments, as may be required.

7.4.10 Part - 5 a: Obtaining infrastructure related utilities - General

| S. No. | Questions | Relevant Department (indicative) ²⁶ | Response (Yes/No) | Supporting Material |
|----------|---|--|----------------------|--|
| General | - Infrastructure related utilities | | | |
| 150. | Are there <u>clear timelines defined</u> for obtaining electricity connection (post submission of application)? | ED | | a) URL of the webpage where timelines are defined/notification indicating clear timelines |
| 151. | Are there <u>clear timelines defined</u> for obtaining water connection (post submission of application)? | DW | | a) URL of the webpage where timelines are defined/notification indicating clear timelines |
| 152. | Are there <u>clear timelines defined</u> for obtaining gas connection (post submission of application)? | Relevant Agency | | a) URL of the webpage where timelines are defined/notification indicating clear timelines |
| 153. | Are there <u>clear timelines defined</u> for obtaining sewage/sewer connection (post submission of application)? | UDHD | | a) URL of the webpage where timelines are defined/notification indicating clear timelines |
| Obtainin | g Electricity Connection | | | |
| 154. | Is there an <u>online application</u> for obtaining electricity connection? | ED | | a) URL of the webpage/ notification for obtaining electricity connection |
| 155. | Does the State provide a <u>fixed cost estimate</u> based on the load required? | ED | | a) URL of the webpage/ notification indicating a fixed cost estimate based on the load required |
| 156. | Has the State <u>reduced the number of documents required</u> for obtaining the electricity connection to 2 namely proof of ownership/occupancy and authorization document (in case of firm/company)? | ED | | a) Notification/office order indicating the mandate to reduce the number of documents required for obtaining the electricity connection to 2 |
| 157. | Is it stipulated that the charged electricity connection is <u>provided within 15 days</u> from the date of application? | ED | | a) Notification/office order indicating the mandate that the electricity connection is provided within 15 days from the date of application |
| 158. | Does the State have a provision for <u>third party inspection</u> of internal installations? | ED | | a) Notification/office order indicating the provision for third party inspection of internal installations |

²⁶ While indicative departments have been highlighted for various sections of the questionnaire, it is suggested that the State government review the same first and then send it to the appropriate departments, as may be required.

7.4.11 Part - 5 b: Obtaining infrastructure related utilities - NOCs/licences/registrations

NOC from Storm water and drainage department (prior to commencement of construction activities)

| S. No. | Questions | Relevant Department (indicative) | Response (Yes/No) | Supporting material |
|--------|---|----------------------------------|----------------------|--|
| 159. | Information availability Is the procedure, along with a comprehensive list of all documents that need to be provided, available on the web site? | UDHD | | a) URL of the website where procedure along with a comprehensive list of all documents is published |
| 160. | <u>Defined timelines</u> Are there <u>clear timelines</u> defined for approval of application? | UDHD | | a) URL of the webpage where timelines are defined/notification indicating clear timelines. |
| 161. | Technology enablement Is there is a provision for online application and payment, without the need for a physical touch point? Note: In case the payment is not required as part of the application process, the State may respond to the aspect relating to provision for online application without the need for a physical touch point. However, if payment is required as part of the application process but is not online (although the application is online), the response would have to be indicated as a "No". | UDHD | | a) URL of the webpage allowing online application and payment b) Copy of the process confirming no physical touch point |
| 162. | Is the approval certificate issued in an online format which is downloadable and verifiable*? *Note: The authenticity of the document may be verifiable using means such as verification based on certificate number etc. | UDHD | | a) Copy of a sample certificate b) Copy of the procedures indicating ability to download and verify the certificate |

NOC from Sewage department (prior to commencement of construction activities)

²⁷ While indicative departments have been highlighted for various sections of the questionnaire, it is suggested that the State government review the same first and then send it to the appropriate departments, as may be required.

| S. No. | Questions | Relevant Department (indicative) 28 | Response (Yes/No) | Supporting material |
|--------|--|-------------------------------------|----------------------|---|
| 163. | Information availability Is the procedure, along with a comprehensive list of all documents that need to be provided, available on the web site? | UDHD | | a) URL of the website where procedure along with a comprehensive list of all documents is published |
| 164. | <u>Defined timelines</u> Are there <u>clear timelines</u> defined for approval of application? | UDHD | | a) URL of the webpage where timelines are defined/notification indicating clear timelines. |
| 165. | Is there is a provision for online application and payment, without the need for a physical touch point? Note: In case the payment is not required as part of the application process, the State may respond to the aspect relating to provision for online application without the need for a physical touch point. However, if payment is required as part of the application process but is not online (although the application is online), the response would have to be indicated as a "No". | UDHD | | a) URL of the webpage allowing online application and payment b) Copy of the process confirming no physical touch point |
| 166. | Certificate/License issuance Is the approval certificate issued in an online format which is downloadable and verifiable*? *Note: The authenticity of the document may be verifiable using means such as verification based on certificate number etc. | UDHD | | a) Copy of a sample certificate b) Copy of the procedures indicating ability to download and verify the certificate |

²⁸ While indicative departments have been highlighted for various sections of the questionnaire, it is suggested that the State government review the same first and then send it to the appropriate departments, as may be required

NOC from Fire department (prior to commencement of construction activities)

| S. No. | Questions | Relevant Department (indicative) | Response (Yes/No) | Supporting | g material |
|--------|---|----------------------------------|----------------------|------------|---|
| 167. | Information availability Is the procedure, along with a comprehensive list of all documents that need to be provided, available on the web site? | UDHD | | | JRL of the website where procedure along with a comprehensive list of all documents is published |
| 168. | <u>Defined timelines</u> Are there <u>clear timelines</u> defined for approval of application? | UDHD | | | JRL of the webpage where timelines are defined/notification indicating clear timelines. |
| 169. | Is there a provision for online application and payment, without the need for a physical touch point? Note: In case the payment is not required as part of the application process, the State may respond to the aspect relating to provision for online application without the need for a physical touch point. However, if payment is required as part of the application process but is not online (although the application is online), the response would have to be indicated as a "No". | UDHD | | k | URL of the webpage allowing online application and payment Copy of the process confirming no physical touch point |
| 170. | Is the approval <u>certificate issued</u> in an online format which is downloadable and verifiable*? *Note: The authenticity of the document may be verifiable using means such as verification based on certificate number etc. | UDHD | | b) (| Copy of a sample certificate Copy of the procedures indicating ability to download and verify the certificate |

²⁹ While indicative departments have been highlighted for various sections of the questionnaire, it is suggested that the State government review the same first and then send it to the appropriate departments, as may be required.

7.4.12 Part - 6 a: Tax procedures - General

| S. No. | Questions | Relevant department (indicative) | Response (Yes/No) | Supporting Material |
|--------|---|--|----------------------|--|
| 171. | Has <u>e-Registration been mandated</u> (i.e. no manual registration allowed) for Value Added Tax (VAT) registration? | CTD | | a) URL of webpage where VAT registration can be done |
| 172. | Has <u>e-Registration been mandated</u> (i.e. no manual registration allowed) for Central Sales Tax (CST) registration? | CTD | | a) URL of webpage where CST registration can be done |
| 173. | Can the payment of Value Added Tax (VAT) be made online? | CTD | | a) URL of webpage where payment can be made |
| 174. | Can the payment of Central Sales Tax (CST) be made <u>online</u> ? | CTD | | a) URL of webpage where payment can be made |
| 175. | Can the payment of Professional Tax (PT) be made <u>online</u> ? | CTD | | a) URL of webpage where payment can be made |
| 176. | Can the payment of Luxury tax be made online? | CTD | | a) URL of webpage where payment can be made |
| 177. | Can the payment of Entry tax be made online? | CTD | | a) URL of webpage where payment can be made |
| 178. | Can the payment of Entertainment tax be made online? | CTD | | a) URL of webpage where payment can be made |
| 179. | Can the return for Value Added Tax (VAT) be filed online? | CTD | | a) URL of webpage where return can be filed |
| 180. | Can the return for Central Sales Tax (CST) be filed online? | CTD | | a) URL of webpage where return can be filed |
| 181. | Can the return for Professional Tax (PT) be filed online? | CTD | | a) URL of webpage where return can be filed |
| 182. | Can the return for Luxury tax be filed online? | CTD | | a) URL of webpage where return can be filed |
| 183. | Can the return for Entry tax be filed online? | CTD | | a) URL of webpage where return can be filed |
| 184. | Can the return for Entertainment tax be filed online? | CTD | | a) URL of webpage where return can be filed |
| 185. | Does the State provide <u>assistance for e-filing</u> at service centers? | CTD | | URL of webpage indicating list of service center and the assistance provided for e-filling |
| 186. | Is there a <u>helpline</u> providing basic services for assisting users in preparing and filing returns? | CTD | | a) URL of the webpage where the centralized helpline number |

³⁰ While indicative departments have been highlighted for various sections of the questionnaire, it is suggested that the State government review the same first and then send it to the appropriate departments, as may be required.

| S. No. | Questions | Relevant department (indicative) | Response (Yes/No) | Supporting Material |
|--------|--|--|----------------------|---|
| | | | | has been published |
| 187. | Is it stipulated that the VAT refund payment directly comes into organization's account within 60 days? | CTD | | a) Notification/Office order indicating that the VAT refund payment come directly into organization's account within 60 days |
| 188. | Does the State have a provision for <u>risk based inspection</u> related to tax compliance? | CTD | | a) Notification/office order indicating the provision for risk based inspection related to tax compliance |
| 189. | Does the State have system for advance tax ruling for <u>State level taxes</u> on the lines of Income Tax Act? | CTD | | a) Act/Notification/Rule indicating system for advance tax ruling for <u>State level taxes</u> on the lines of Income Tax Act |
| 190. | Have the forms relating to information captured at check posts been made available online ? OR Has the State phased out-static-check-posts and substituted with random checking through mobile squads? | СТД | | a) URL of webpage where forms are available b) Notification on allowing random checking through mobile squads |

7.4.13 Part - 6 b: Tax procedures - NOCs/licences/registrations

Registration for Value Added Tax (VAT)

| S. No. | Questions | Relevant Department (indicative) | Response (Yes/No) | Supporting material |
|--------|--|----------------------------------|----------------------|---|
| 191. | Information availability Is the procedure, along with a comprehensive list of all documents that need to be provided, available on the web site? | СТД | | a) URL of the website where procedure along with a comprehensive list of all documents is published |
| 192. | <u>Defined timelines</u> Are there <u>clear timelines</u> defined for approval of application? | СТД | | a) URL of the webpage where timelines are defined/notification indicating clear timelines. |
| 193. | Is there is a provision for online application and payment, without the need for a physical touch point? Note: In case the payment is not required as part of the application process, the State may respond to the aspect relating to provision for online application without the need for a physical touch point. However, if payment is required as part of the application process but is not online (although the application is online), the response would have to be indicated as a "No". | СТД | | a) URL of the webpage allowing online application and payment b) Copy of the process confirming no physical touch point |
| 194. | Is the approval certificate issued in an online format which is downloadable and verifiable*? *Note: The authenticity of the document may be verifiable using means such as verification based on certificate number etc. | CTD | | a) Copy of a sample certificate b) Copy of the procedures indicating ability to download and verify the certificate |

³¹ While indicative departments have been highlighted for various sections of the questionnaire, it is suggested that the State government review the same first and then send it to the appropriate departments, as may be required.

ii. **Registration for Central Sales Tax (CST)**

| S. No. | Questions | Relevant Department (indicative) | Response (Yes/No) | Supporting material |
|--------|---|----------------------------------|----------------------|---|
| 195. | Information availability Is the procedure, along with a comprehensive list of all documents that need to be provided, available on the web site? | CTD | | a) URL of the website where procedure along with a comprehensive list of all documents is published |
| 196. | <u>Defined timelines</u> Are there <u>clear timelines</u> defined for approval of application? | CTD | | a) URL of the webpage where timelines are defined/notification indicating clear timelines. |
| 197. | Technology enablement Is there is a provision for online application and payment, without the need for a physical touch point? Note: In case the payment is not required as part of the application process, the State may respond to the aspect relating to provision for online application without the need for a physical touch point. However, if payment is required as part of the application process but is not online (although the application is online), the response would have to be indicated as a "No". | CTD | | a) URL of the webpage allowing online application and payment b) Copy of the process confirming no physical touch point |
| 198. | Is the approval certificate issued in an online format which is downloadable and verifiable*? *Note: The authenticity of the document may be verifiable using means such as verification based on certificate number etc. | CTD | | a) Copy of a sample certificate b) Copy of the procedures indicating ability to download and verify the certificate |

³² While indicative departments have been highlighted for various sections of the questionnaire, it is suggested that the State government review the same first and then send it to the appropriate departments, as may be required.

Registration for Professional Tax

| S. No. | Questions | Relevant Department (indicative) | Response (Yes/No) | Supporting material |
|--------|--|--|----------------------|---|
| 199. | Information availability Is the procedure, along with a comprehensive list of all documents that need to be provided, available on the web site? | СТД | | a) URL of the website where procedure along with a comprehensive list of all documents is published |
| 200. | <u>Defined timelines</u> Are there <u>clear timelines</u> defined for approval of application? | CTD | | a) URL of the webpage where timelines are defined/notification indicating clear timelines. |
| 201. | Is there is a provision for online application and payment, without the need for a physical touch point? Note: In case the payment is not required as part of the application process, the State may respond to the aspect relating to provision for online application without the need for a physical touch point. However, if payment is required as part of the application process but is not online (although the application is online), the response would have to be indicated as a "No". | CTD | | a) URL of the webpage allowing online application and payment b) Copy of the process confirming no physical touch point |
| 202. | Is the approval certificate issued in an online format which is downloadable and verifiable*? *Note: The authenticity of the document may be verifiable using means such as verification based on certificate number etc. | СТД | | a) Copy of a sample certificate b) Copy of the procedures indicating ability to download and verify the certificate |

³³ While indicative departments have been highlighted for various sections of the questionnaire, it is suggested that the State government review the same first and then send it to the appropriate departments, as may be required.

Registration for Entry Tax

| S. No. | Questions | Relevant Department (indicative) | Response (Yes/No) | Supporting material |
|--------|--|--|----------------------|---|
| 203. | Information availability Is the procedure, along with a comprehensive list of all documents that need to be provided, available on the web site? | СТД | | a) URL of the website where procedure along with a comprehensive list of all documents is published |
| 204. | Defined timelines Are there clear timelines defined for approval of application? | CTD | | a) URL of the webpage where timelines are defined/notification indicating clear timelines. |
| 205. | Technology enablement Is there is a provision for online application and payment, without the need for a physical touch point? Note: In case the payment is not required as part of the application process, the State may respond to the aspect relating to provision for online application without the need for a physical touch point. However, if payment is required as part of the application process but is not online (although the application is online), the response would have to be indicated as a "No". | CTD | | a) URL of the webpage allowing online application and payment b) Copy of the process confirming no physical touch point |
| 206. | Certificate/License issuance Is the approval certificate issued in an online format which is downloadable and verifiable*? *Note: The authenticity of the document may be verifiable using means such as verification based on certificate number etc. | СТД | | a) Copy of a sample certificate b) Copy of the procedures indicating ability to download and verify the certificate |

³⁴ While indicative departments have been highlighted for various sections of the questionnaire, it is suggested that the State government review the same first and then send it to the appropriate departments, as may be required.

Registration for Entertainment Tax

| S. No. | Questions | Relevant Department (indicative) | Response (Yes/No) | Supporting material |
|--------|--|----------------------------------|----------------------|---|
| 207. | Information availability Is the procedure, along with a comprehensive list of all documents that need to be provided, available on the web site? | СТД | | a) URL of the website where procedure along with a comprehensive list of all documents is published |
| 208. | <u>Defined timelines</u> Are there <u>clear timelines</u> defined for approval of application? | CTD | | a) URL of the webpage where timelines are defined/notification indicating clear timelines. |
| 209. | Is there is a provision for online application and payment, without the need for a physical touch point? Note: In case the payment is not required as part of the application process, the State may respond to the aspect relating to provision for online application without the need for a physical touch point. However, if payment is required as part of the application process but is not online (although the application is online), the response would have to be indicated as a "No". | CTD | | a) URL of the webpage allowing online application and payment b) Copy of the process confirming no physical touch point |
| 210. | Certificate/License issuance Is the approval certificate issued in an online format which is downloadable and verifiable*? *Note: The authenticity of the document may be verifiable using means such as verification based on certificate number etc. | CTD | | a) Copy of a sample certificate b) Copy of the procedures indicating ability to download and verify the certificate |

³⁵ While indicative departments have been highlighted for various sections of the questionnaire, it is suggested that the State government review the same first and then send it to the appropriate departments, as may be required.

Registration for Luxury Tax

| S. No. | Questions | Relevant Department (indicative) | Response (Yes/No) | Supporting material |
|--------|---|----------------------------------|----------------------|--|
| 211. | Information availability Is the procedure, along with a comprehensive list of all documents that need to be provided, available on the web site? | СТД | | a) URL of the website where procedure along with a comprehensive list of all documents is published |
| 212. | <u>Defined timelines</u> Are there <u>clear timelines</u> defined for approval of application? | CTD | | a) URL of the webpage where timelines are defined/notification indicating clear timelines. |
| 213. | Technology enablement Is there is a provision for online application and payment, without the need for a physical touch point? Note: In case the payment is not required as part of the application process, the State may respond to the aspect relating to provision for online application without the need for a physical touch point. However, if payment is required as part of the application process but is not online (although the application is online), the response would have to be indicated as a "No". | CTD | | a) URL of the webpage allowing online application and payment b) Copy of the process confirming no physical touch point |
| 214. | Is the approval <u>certificate issued</u> in an online format which is downloadable and verifiable*? *Note: The authenticity of the document may be verifiable using means such as verification based on certificate number etc. | СТД | | a) Copy of a sample certificate b) Copy of the procedures indicating ability to download and verify the certificate |

³⁶ While indicative departments have been highlighted for various sections of the questionnaire, it is suggested that the State government review the same first and then send it to the appropriate departments, as may be required

7.4.14 Part - 7 a: Carrying out inspections - General

| S. No. | Questions | Relevant Department (Indicative) | Response (Yes/No) | Supporting Material |
|--------|--|----------------------------------|----------------------|--|
| 215. | Does the State conduct <u>surprise inspections</u> only based on complaints received with specific permission from the Head of Department? | Relevant Department | | a) Notification/office order/Rule regarding conducting surprise inspections |
| 216. | Does the State allow for synchronized/joint- inspection under all of the following acts? I. Inspection under The Equal Remuneration Act, 1976 II. Inspection under The Factories Act, 1948 III. Inspection under The Maternity Benefit Act, 1961 IV. Inspection under The Minimum Wages Act, 1948 V. Inspection under The Shops and Establishments Act (as applicable) VI. Inspection under The Labour Welfare Fund Act (as applicable) VII. Inspection under The Payment of Bonus Act, 1965 VIII. Inspection under The Payment of Wages Act, 1936 IX. Inspection under The Payment of Gratuity Act, 1972 X. Inspection under The Contract Labour (Regulation and Abolition) Act, 1970 | LD | | a) Notification/office order/Rule/Acts/Circular regarding conducting joint/synchronized inspections for the Acts mentioned |

³⁷ While indicative departments have been highlighted for various sections of the questionnaire, it is suggested that the State government review the same first and then send it to the appropriate departments, as may be required.

7.4.15 Part - 7 b: Carrying out Inspection - Procedures

Inspection of the business premises for VAT (Value Added Tax) registration

| S. No. | Questions | Relevant Department (indicative) ³⁸ | Response (Yes/No) | Supporting material |
|--------|---|--|----------------------|---|
| 217. | Information availability Is there a well-defined inspection procedure published on department's web site? | СТД | | a) URL of the website where inspection procedure is published |
| 218. | Clear timelines Is there a provision which mandates submission of inspection reports within 72 hours? | СТД | | a) Copy of the notification/ circular indicating submission of inspection reports within 72 hours |
| 219. | Technology enablement Is there an online system for allocation of inspectors to conduct the inspections? | CTD | | a) URL of the online system for allocation of inspectors |
| 220. | Technology enablement Is there a provision for conducting inspections based on computerized risk assessment? | СТД | | a) Copy of the notification/ circular indicating provision for conducting inspections based on computerized risk assessment |

³⁸ While indicative departments have been highlighted for various sections of the questionnaire, it is suggested that the State government review the same first and then send it to the appropriate departments, as may be required.

Inspection by Building Proposal Office/ relevant agency as part of obtaining construction permit ii.

| S. No. | Questions | Relevant Department (indicative) | Response (Yes/No) | Supporting material |
|--------|--|----------------------------------|----------------------|---|
| 221. | Information availability Is there a well-defined inspection procedure published on department's web site? | UDHD | | a) URL of the website where inspection procedure is published |
| 222. | Clear timelines Is there a provision which mandates submission of inspection reports within 72 hours? | UDHD | | a) Copy of the notification/ circular indicating submission of inspection reports within 72 hours |
| 223. | Is there an <u>online system</u> for allocation of inspectors to conduct the inspections? | UDHD | | a) URL of the online system for allocation of inspectors |
| 224. | Is there a provision for conducting inspections based on computerized risk assessment? | UDHD | | a) Copy of the notification/ circular indicating provision for conducting inspections based on computerized risk assessment |

³⁹ While indicative departments have been highlighted for various sections of the questionnaire, it is suggested that the State government review the same first and then send it to the appropriate departments, as may be required.

Inspection from Tree Authority/ Appropriate Authority for felling trees (prior to commencement of construction activities)

| S. No. | Questions | Relevant Department (indicative) | Response (Yes/No) | Supporting material |
|--------|--|----------------------------------|----------------------|---|
| 225. | Information availability Is there a well-defined inspection procedure published on department's web site? | Appropriate Authority | | a) URL of the website where inspection procedure is published |
| 226. | Is there a provision which mandates submission of inspection reports within 72 hours? | Appropriate Authority | | a) Copy of the notification/ circular indicating submission of inspection reports within 72 hours |
| 227. | Is there an <u>online system</u> for allocation of inspectors to conduct the inspections? | Appropriate Authority | | a) URL of the online system for allocation of inspectors |
| 228. | Is there a provision for conducting inspections based on computerized risk assessment? | Appropriate Authority | | a) Copy of the notification/ circular indicating provision for conducting inspections based on computerized risk assessment |

Inspection under The Employees' State Insurance Act, 1948

| S. No. | Questions | Relevant Department (indicative) | Response (Yes/No) | Supporting material |
|--------|---|----------------------------------|----------------------|---|
| 229. | Information availability Is there a well-defined inspection procedure published on department's web site? | LD | | a) URL of the website where inspection procedure is published |
| 230. | Clear timelines Is there a provision which mandates submission of inspection reports within 72 hours? | LD | | a) Copy of the notification/ circular indicating submission of inspection reports within 72 hours |
| 231. | Technology enablement Is there an online system for allocation of inspectors to conduct the inspections? | LD | | a) URL of the online system for allocation of inspectors |
| 232. | Technology enablement Is there a provision for conducting inspections based on computerized risk assessment? | LD | | a) Copy of the notification/ circular indicating provision for conducting inspections based on computerized risk assessment |

⁴¹ While indicative departments have been highlighted for various sections of the questionnaire, it is suggested that the State government review the same first and then send it to the appropriate departments, as may be required.

Inspection under The Employees' Provident Fund & Miscellaneous Provisions Act, 1952

| S. No. | Questions | Relevant Department (indicative) | Response (Yes/No) | Supporting material |
|--------|---|----------------------------------|----------------------|---|
| 233. | Information availability Is there a well-defined inspection procedure published on department's website? | LD | | a) URL of the website where inspection procedure is published |
| 234. | Clear timelines Is there a provision which mandates submission of inspection reports within 72 hours? | LD | | a) Copy of the notification/ circular indicating submission of inspection reports within 72 hours |
| 235. | Technology enablement Is there an online system for allocation of inspectors to conduct the inspections? | LD | | a) URL of the online system for allocation of inspectors |
| 236. | Is there a provision for conducting inspections based on computerized risk assessment ? | LD | | a) Copy of the notification/ circular indicating provision for conducting inspections based on computerized risk assessment |

Inspection under The Equal Remuneration Act, 1976

⁴² While indicative departments have been highlighted for various sections of the questionnaire, it is suggested that the State government review the same first and then send it to the appropriate departments, as may be required.

| S. No. | Questions | Relevant Department (indicative) | Response (Yes/No) | Supporting material |
|--------|---|----------------------------------|----------------------|---|
| 237. | Information availability Is there a well-defined inspection procedure published on department's web site? | LD | | a) URL of the website where inspection procedure is published |
| 238. | Clear timelines Is there a provision which mandates submission of inspection reports within 72 hours? | LD | | a) Copy of the notification/ circular indicating submission of inspection reports within 72 hours |
| 239. | Technology enablement Is there an online system for allocation of inspectors to conduct the inspections? | LD | | a) URL of the online system for allocation of inspectors |
| 240. | Technology enablement Is there a provision for conducting inspections based on computerized risk assessment? | LD | | a) Copy of the notification/ circular indicating provision for conducting inspections based on computerized risk assessment |

⁴³ While indicative departments have been highlighted for various sections of the questionnaire, it is suggested that the State government review the same first and then send it to the appropriate departments, as may be required.

Inspection under The Factories Act, 1948 vii.

| S. No. | Questions | Relevant Department (indicative) | Response (Yes/No) | Supporting material |
|--------|---|----------------------------------|----------------------|---|
| 241. | Information availability Is there a well-defined inspection procedure published on department's web site? | LD | | a) URL of the website where inspection procedure is published |
| 242. | Is there a provision which mandates submission of inspection reports within 72 hours? | LD | | a) Copy of the notification/ circular indicating submission of inspection reports within 72 hours |
| 243. | Is there an <u>online system</u> for allocation of inspectors to conduct the inspections? | LD | | a) URL of the online system for allocation of inspectors |
| 244. | Is there a provision for conducting inspections based on computerized risk assessment ? | LD | | a) Copy of the notification/ circular indicating provision for conducting inspections based on computerized risk assessment |

⁴⁴ While indicative departments have been highlighted for various sections of the questionnaire, it is suggested that the State government review the same first and then send it to the appropriate departments, as may be required

Inspection under The Minimum Wages Act, 1948 viii.

| S. No. | Questions | Relevant Department (indicative) 45 | Response (Yes/No) | Supporting material |
|--------|---|-------------------------------------|----------------------|---|
| 245. | Information availability Is there a well-defined inspection procedure published on department's web site? | LD | | a) URL of the website where inspection procedure is published |
| 246. | Clear timelines Is there a provision which mandates submission of inspection reports within 72 hours? | LD | | a) Copy of the notification/ circular indicating submission of inspection reports within 72 hours |
| 247. | Technology enablement Is there an online system for allocation of inspectors to conduct the inspections? | LD | | a) URL of the online system for allocation of inspectors |
| 248. | Is there a provision for conducting inspections based on computerized risk assessment ? | LD | | a) Copy of the notification/ circular indicating provision for conducting inspections based on computerized risk assessment |

⁴⁵ While indicative departments have been highlighted for various sections of the questionnaire, it is suggested that the State government review the same first and then send it to the appropriate departments, as may be required

Inspection under The Shops and Establishments Act (as applicable)

| S. No. | Questions | Relevant Department (indicative) 46 | Response (Yes/No) | Supporting material |
|--------|--|-------------------------------------|----------------------|---|
| 249. | Information availability Is there a well-defined inspection procedure published on department's web site? | LD | | a) URL of the website where inspection procedure is published |
| 250. | <u>Clear timelines</u> Is there a provision which mandates submission of inspection reports within 72 hours? | LD | | a) Copy of the notification/ circular indicating submission of inspection reports within 72 hours |
| 251. | Technology enablement Is there an <u>online system</u> for allocation of inspectors to conduct the inspections? | LD | | a) URL of the online system for allocation of inspectors |
| 252. | Technology enablement Is there a provision for conducting inspections based on computerized risk assessment? | LD | | a) Copy of the notification/ circular indicating provision for conducting inspections based on computerized risk assessment |

⁴⁶ While indicative departments have been highlighted for various sections of the questionnaire, it is suggested that the State government review the same first and then send it to the appropriate departments, as may be required

Inspection under The Payment of Bonus Act, 1965

| S. No. | Questions | Relevant Department (indicative) | Response (Yes/No) | Supporting material |
|--------|---|----------------------------------|----------------------|---|
| 253. | Information availability Is there a well-defined inspection procedure published on department's web site? | LD | | a) URL of the website where inspection procedure is published |
| 254. | Clear timelines Is there a provision which mandates submission of inspection reports within 72 hours? | LD | | a) Copy of the notification/ circular indicating submission of inspection reports within 72 hours |
| 255. | Technology enablement Is there an online system for allocation of inspectors to conduct the inspections? | LD | | a) URL of the online system for allocation of inspectors |
| 256. | Technology enablement Is there a provision for conducting inspections based on computerized risk assessment? | LD | | a) Copy of the notification/ circular indicating provision for conducting inspections based on computerized risk assessment |

⁴⁷ While indicative departments have been highlighted for various sections of the questionnaire, it is suggested that the State government review the same first and then send it to the appropriate departments, as may be required.

Inspection under The Payment of Wages Act, 1936

| S. No. | Questions | Relevant Department (indicative) 48 | Response (Yes/No) | Supporting material |
|--------|---|-------------------------------------|----------------------|---|
| 257. | Information availability Is there a well-defined inspection procedure published on department's web site? | LD | | a) URL of the website where inspection procedure is published |
| 258. | Clear timelines Is there a provision which mandates submission of inspection reports within 72 hours? | LD | | a) Copy of the notification/ circular indicating submission of inspection reports within 72 hours |
| 259. | Technology enablement Is there an online system for allocation of inspectors to conduct the inspections? | LD | | a) URL of the online system for allocation of inspectors |
| 260. | Technology enablement Is there a provision for conducting inspections based on computerized risk assessment? | LD | | a) Copy of the notification/ circular indicating provision for conducting inspections based on computerized risk assessment |

⁴⁸ While indicative departments have been highlighted for various sections of the questionnaire, it is suggested that the State government review the same first and then send it to the appropriate departments, as may be required.

Inspection under The Payment of Gratuity Act, 1972 xii.

| S. No. | Questions | Relevant Department (indicative) | Response (Yes/No) | Supporting material |
|--------|---|----------------------------------|----------------------|---|
| 261. | Information availability Is there a well-defined inspection procedure published on department's web site? | LD | | a) URL of the website where inspection procedure is published |
| 262. | Clear timelines Is there a provision which mandates submission of inspection reports within 72 hours? | LD | | a) Copy of the notification/ circular indicating submission of inspection reports within 72 hours |
| 263. | Is there an <u>online system</u> for allocation of inspectors to conduct the inspections? | LD | | a) URL of the online system for allocation of inspectors |
| 264. | Technology enablement Is there a provision for conducting inspections based on computerized risk assessment? | LD | | a) Copy of the notification/ circular indicating provision for conducting inspections based on computerized risk assessment |

⁴⁹ While indicative departments have been highlighted for various sections of the questionnaire, it is suggested that the State government review the same first and then send it to the appropriate departments, as may be required

Inspection under The Contract Labour (Regulation and Abolition) Act, 1970

| S. No. | Questions | Relevant Department (indicative) | Response (Yes/No) | Supporting material |
|--------|---|----------------------------------|----------------------|---|
| 265. | Information availability Is there a well-defined inspection procedure published on department's web site? | LD | | a) URL of the website where inspection procedure is published |
| 266. | Is there a provision which mandates submission of inspection reports within 72 hours? | LD | | a) Copy of the notification/ circular indicating submission of inspection reports within 72 hours |
| 267. | Is there an <u>online system</u> for allocation of inspectors to conduct the inspections? | LD | | a) URL of the online system for allocation of inspectors |
| 268. | Is there a provision for conducting inspections based on computerized risk assessment ? | LD | | a) Copy of the notification/ circular indicating provision for conducting inspections based on computerized risk assessment |

⁵⁰ While indicative departments have been highlighted for various sections of the questionnaire, it is suggested that the State government review the same first and then send it to the appropriate departments, as may be required

Inspection under The Water (Prevention and Control of Pollution) Act, 1974

| S. No. | Questions | Relevant Department (indicative) | Response (Yes/No) | Supporting material |
|--------|---|----------------------------------|----------------------|---|
| 269. | Information availability Is there a well-defined inspection procedure published on department's web site? | SPCB | | a) URL of the website where inspection procedure is published |
| 270. | <u>Clear timelines</u> Is there a provision which mandates submission of inspection reports within 72 hours? | SPCB | | a) Copy of the notification/ circular indicating submission of inspection reports within 72 hours |
| 271. | Is there an <u>online system</u> for allocation of inspectors to conduct the inspections? | SPCB | | a) URL of the online system for allocation of inspectors |
| 272. | Technology enablement Is there a provision for conducting inspections based on computerized risk assessment? | SPCB | | a) Copy of the notification/ circular indicating provision for conducting inspections based on computerized risk assessment |

⁵¹ While indicative departments have been highlighted for various sections of the questionnaire, it is suggested that the State government review the same first and then send it to the appropriate departments, as may be required.

Inspection under The Air (Prevention and Control of Pollution) Act, 1981 XV.

| S. No. | Questions | Relevant Department (indicative) 52 | Response (Yes/No) | Supporting material |
|--------|---|-------------------------------------|----------------------|---|
| 273. | Information availability Is there a well-defined inspection procedure published on department's web site? | SPCB | | a) URL of the website where inspection procedure is published |
| 274. | Clear timelines Is there a provision which mandates submission of inspection reports within 72 hours? | SPCB | | a) Copy of the notification/ circular indicating submission of inspection reports within 72 hours |
| 275. | Technology enablement Is there an online system for allocation of inspectors to conduct the inspections? | SPCB | | a) URL of the online system for allocation of inspectors |
| 276. | Technology enablement Is there a provision for conducting inspections based on computerized risk assessment? | SPCB | | a) Copy of the notification/ circular indicating provision for conducting inspections based on computerized risk assessment |

⁵² While indicative departments have been highlighted for various sections of the questionnaire, it is suggested that the State government review the same first and then send it to the appropriate departments, as may be required.

7.4.16 Part - 8 a: Enforcing contracts

| S. No. | Question | Relevant Department (Indicative) | Response (Yes/No) | Supporting Material |
|--------|--|----------------------------------|----------------------|---|
| 277. | Do the District courts have a <u>provision for e-filing</u> for commercial disputes? | LWD | | a) URL of the webpage for e-filing of commercial disputes |
| 278. | Do the District courts have a <u>provision for e-summons</u> for commercial disputes? | LWD | | a) URL of the webpage for e-summons for commercial disputes else a snapshot of a sample e-summons for commercial disputes |
| 279. | Do the District courts have a <u>provision for making online payments</u> ? | LWD | | a) URL of the webpage for making online payments |
| 280. | Do the District courts have a <u>provision for publishing e-cause list</u> for commercial disputes? | LWD | | a) URL of the webpage where the e-cause list for commercial disputes is published |
| 281. | Do the District courts have a <u>provision for issuing digitally signed</u> <u>court orders?</u> | LWD | | a) Snapshot of a digitally signed court order |
| 282. | Does the State have specialized courts or commercial division in existing courts to resolve commercial disputes? | LWD | | a) URL of webpage where the list of specialized courts/ commercial division is provided |
| 283. | Does the State have <u>model contract templates/ guidelines</u> published on department's website that may be used for commercial contracts? | LWD | | a) URL of the webpage where the model contract templates/guidelines for commercial contracts have been published |
| 284. | Have at least 80% of the vacancies in District courts been filled up? | LWD | | a) Details regarding number of vacancies filled and total number of existing vacancies |
| 285. | Has the <u>process for recruitment of judges</u> in District court been initiated? | LWD | | |

⁵³ While indicative departments have been highlighted for various sections of the questionnaire, it is suggested that the State government review the same first and then send it to the appropriate departments, as may be required.

| Assessment Framework for State Level Reforms en | nabling Ease of Doing Business (2015) |
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